



# Numerical modeling of cracking process in partially saturated porous media and application to rainfall-induced slope instability analysis

Meng WANG, Zhan YU, Jian-Fu SHAO

University of Lille

October 19, 2023

1 . Background

2 . Phase-field formulations

3 . Numerical Modeling

4 . Conclusions and Perspectives

**01**  
**PART ONE**

**BACKGROUND**

## Introduction

## Landslide

Predisposing factors

- Lithology
- Faults
- Land use

Easily assessed by spatial analysis techniques  
(Ayalew et al. 2005)

Triggering factors

- Rainfall
- Snowmelt
- Earthquakes

Difficult to estimate at a regional scale  
(Griffiths 2014)

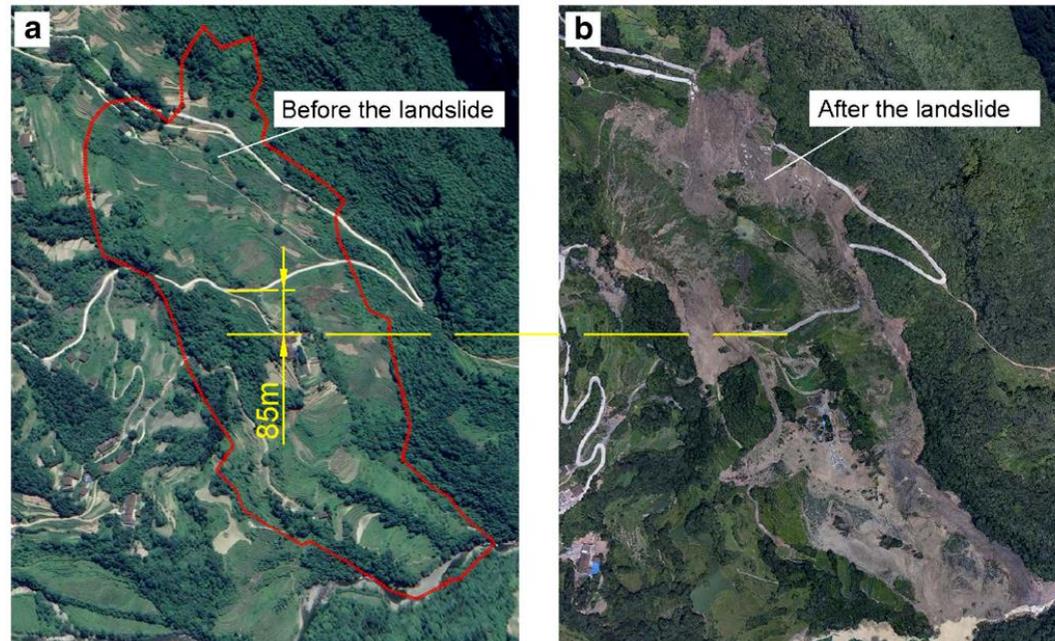


Figure –Zhongbao landslide at Wulong, China  
(CHEN et al. 2021)

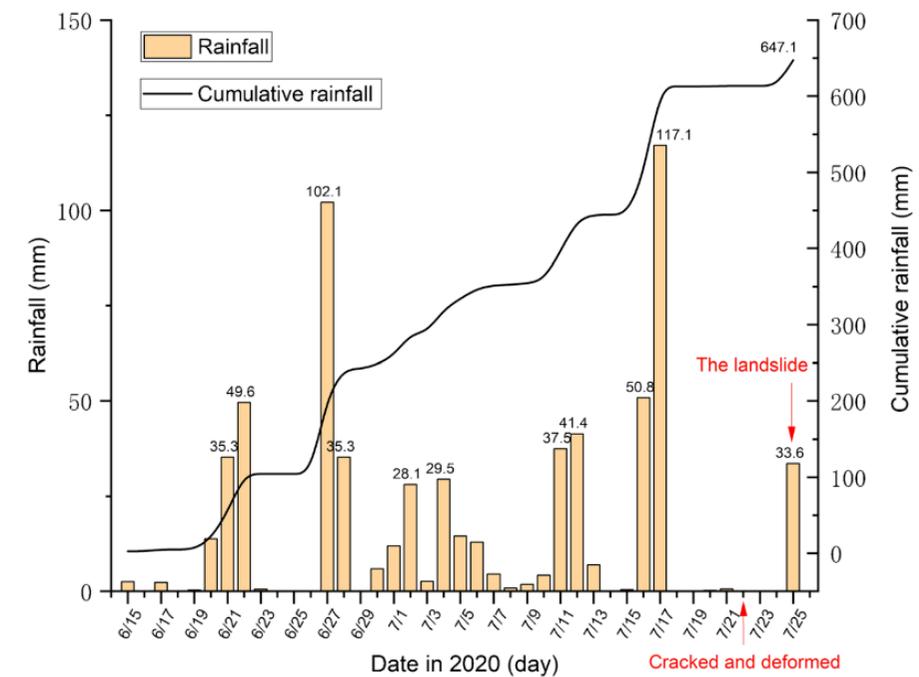
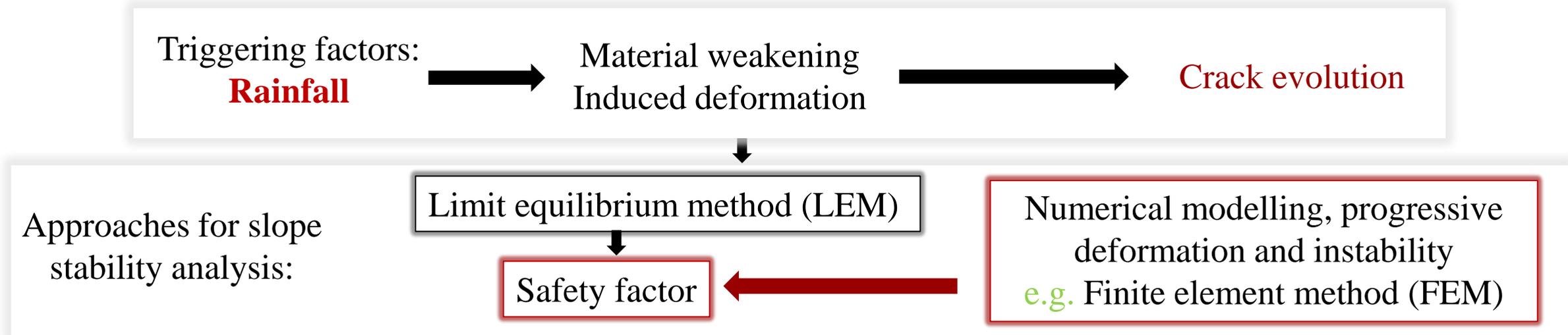


Figure –Rainfall monitoring data  
(CHEN et al. 2021)

## Objectives



## Methodology: Phase-field Method (PFM)

Regularized crack topology:

$$A_{\Gamma} = \int_{\Gamma} dA \cong \int_{\Omega} \gamma_d(d, \nabla d) dV$$

- Predict not only **crack initiation** but also the **crack propagation path**;
- Deal with merging and branching of **multiple cracks**;
- Easy to incorporate the **multi-field physics**

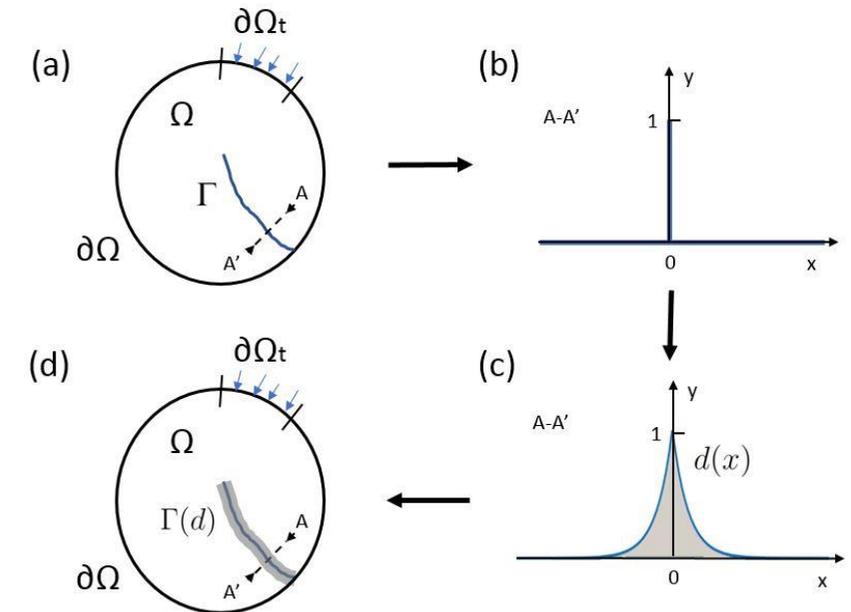


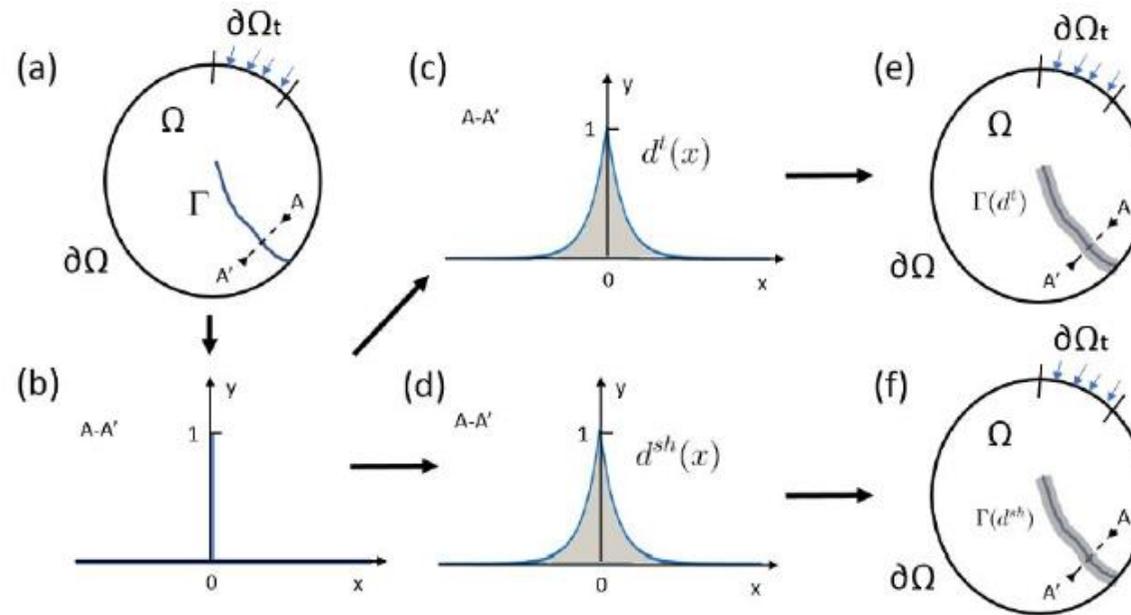
Figure –Regularized crack topology

**02**  
**PART TWO**

**PHASE-FIELD FORMULATIONS FOR  
PARTIALLY SATURATED MEDIA**

## Regularized crack fields

Two independent variables  $d^t$  and  $d^s$  to approximate the crack surface area:



The total crack surface density :  $\gamma_d(d, \nabla d) = \gamma_d^t(d^t, \nabla d^t) + \gamma_d^s(d^s, \nabla d^s)$

Tensile crack density

$$\frac{(d^t)^2}{2l_d} + \frac{l_d}{2} \nabla d^t \cdot \nabla d^t$$

$$\frac{(d^s)^2}{2l_d} + \frac{l_d}{2} \nabla d^s \cdot \nabla d^s$$

Compressive-shear crack density

The energy dissipated by cracks :

$$D(d^s, d^t) = \int_{\Omega} [g_c^t \gamma_d^t(d^t, \nabla d^t) + g_c^s \gamma_d^s(d^s, \nabla d^s)] dV$$

## Constitutive relations of undamaged porous media

Poroelastic model for the undamaged material (Coussy, 2010) :

$$d\boldsymbol{\sigma}^0 = d\boldsymbol{\sigma}^{b0} - bS_w dp_w I$$

$$dp_w = M_{ww} \left[ -bS_w d\boldsymbol{\varepsilon}_v + \left( \frac{dm_w}{\rho_w} \right) \right]$$

➤ The capillary pressure ( $p_g = p_{atm} = 0$ ):

$$p_c = -p_w$$

➤ The extended Bishop's effective stress (Bishop, 1959) :

$$d\boldsymbol{\sigma}^{b0} = d\boldsymbol{\sigma}^0 + bS_w dp_w I = \mathbb{C}^{b0} : d\boldsymbol{\varepsilon}$$

➤ The water saturation degree (van Genuchten, 1980) :

$$S_w = S_r + S_e (1 - S_r)$$

$$S_e = \left[ 1 + \left( \frac{p_c}{p_{cr}} \right)^n \right]^{-m}$$

## The total energy functional of partially saturated cracked material

$$E(\boldsymbol{\varepsilon}, m_w, d^t, d^s) = \underbrace{\int_{\Omega} \psi(\boldsymbol{\varepsilon}, m_w, d^t, d^s) dV}_{\text{stored energy}} + \underbrace{\int_{\Omega} \mathcal{D}(d^t, d^s) dV}_{\text{cracking dissipation}}$$

$$\psi(\boldsymbol{\varepsilon}, m_w, d^t, d^s) = \underbrace{\psi_{eff}(\boldsymbol{\varepsilon}, d^t, d^s)}_{\text{Skeleton deformation}} + \underbrace{\psi_{fluids}(\boldsymbol{\varepsilon}, m_w)}_{\text{Fluid mass change}}$$

## Stored energy for partially saturated media with cracks

- The stored elastic energy of porous medium:

$$\psi_{eff}(\boldsymbol{\varepsilon}, d^t, d^s) = g(d^t) W_+^b(\boldsymbol{\varepsilon}) + g(d^s) W_-^b(\boldsymbol{\varepsilon})$$

$$W_+^b(\boldsymbol{\varepsilon}) = \frac{1}{2} \boldsymbol{\sigma}_+^b : \boldsymbol{\varepsilon}$$

Tensile crack driving energy

$$W_-^b(\boldsymbol{\varepsilon}) = \frac{1}{2} \boldsymbol{\sigma}_-^b : \boldsymbol{\varepsilon}$$

Shear crack driving energy

- The degradation function (Miehe et al. 2010) :

$$g(d^\alpha) = (1 - d^\alpha)^2$$

- The Decomposition of effective stress tensors :

$$\boldsymbol{\sigma}_\pm^b = \sum_{a=1}^3 \langle \sigma_a \rangle_\pm \mathbf{n}_a \otimes \mathbf{n}_a$$

- The energy due to fluid mass change :

$$\psi_{fluids}(\boldsymbol{\varepsilon}, m_w, d^t, d^s) \equiv \psi_{fluids}(\boldsymbol{\varepsilon}, m_w) = \frac{1}{2} M_{ww} \left[ b S_w \boldsymbol{\varepsilon}_v - \left( \frac{m}{\rho} \right)_w \right]^2$$

$$\Pi(\mathbf{u}, \dot{m}_w, \dot{m}_g, \dot{d}^t, \dot{d}^s) = \dot{E}(\mathbf{u}, \dot{m}_w, \dot{m}_g, \dot{d}^t, \dot{d}^s) - \dot{P}_{ext} = 0$$

## Governing equations for phase-field variables

$$-g'_t(d^t) W_+^b - g_c^t \left[ \frac{d^t}{l} - l \operatorname{div}(\nabla d^t) \right] = 0$$

$$W_+^e = \frac{1}{2} \boldsymbol{\sigma}_+ : \boldsymbol{\varepsilon}^e$$

$$\mathcal{H}^t = \max_{t \in [0, t]} W_+^e$$

$$-2(1 - d^t) \mathcal{H}^t - g_c^t \left[ \frac{d^t}{l} - l \operatorname{div}(\nabla d^t) \right] = 0$$

$$-g'_s(d^s) W_-^b - g_c^s \left[ \frac{d^s}{l} - l \operatorname{div}(\nabla d^s) \right] = 0$$

$$W_-^s = \frac{1}{2G} \left\langle \frac{\sigma_3^- - \sigma_1^-}{2 \cos \varphi} + \frac{\sigma_3^- + \sigma_1^-}{2} \tan \varphi - c \right\rangle_+^2$$

$$\mathcal{H}^s = \max_{t \in [0, t]} W_-^s$$

$$-2(1 - d^s) \mathcal{H}^s - g_c^s \left[ \frac{d^s}{l} - l \operatorname{div}(\nabla d^s) \right] = 0$$

$$\Pi(\mathbf{u}, \dot{m}_w, \dot{m}_g, \dot{d}^t, \dot{d}^s) = \dot{E}(\mathbf{u}, \dot{m}_w, \dot{m}_g, \dot{d}^t, \dot{d}^s) - \dot{P}_{ext} = 0$$

## Hydro-mechanics coupling functions for partially saturated medium

$$p_w - p_{w0} = M_w \left[ -b_w \boldsymbol{\varepsilon} \mathbf{I} + \frac{m_w}{\rho_w} \right]$$



Darcy's law and mass conservation

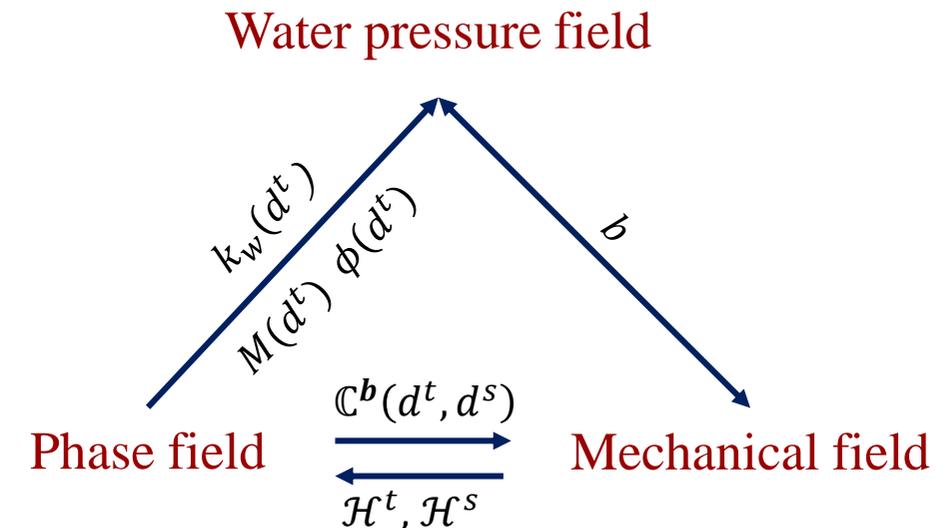
$$bS_w \dot{\varepsilon}_v + \frac{1}{M} \dot{p}_w = \frac{k_r k_w}{\mu_w} \cdot \text{div}(\nabla p_w - \rho_w \vec{g})$$

$$\text{div}(\boldsymbol{\sigma}) + \vec{f} = 0$$

$$\boldsymbol{\sigma} - \boldsymbol{\sigma}_0 = \mathbb{C}^b(d^t, d^s) : \boldsymbol{\varepsilon} - bS_w(p_w - p_{w0})\mathbf{I}$$

### Effects of phase field on hydraulic parameters:

- Permeability:  $k_w(d^t) = k_w^0 \exp(d^t)$
- Porosity:  $\phi(d^t) = \phi^0 + (1 - \phi^0) d^t$
- Scalar parameter:  $\frac{1}{M(d^t)} = \frac{s_l^2 [b - \phi(d^t)]}{K_s} + \frac{s_l \phi(d^t)}{K_f} - \phi(d^t) \frac{\partial s_l}{\partial p_c}$



**03**  
**PART THREE**

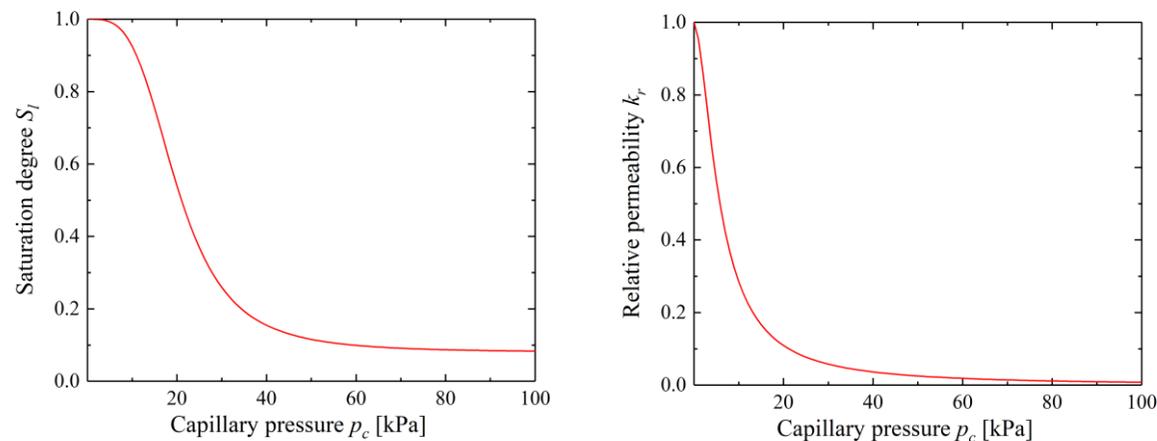
**NUMERICAL MODELING**

## Analysis of rainfall induced landslides

### Hydro-mechanical parameters:

$\lambda$	$\mu$	$K_w$	$\phi$	$b$	$k_{pl}$
2.9 GPa	0.7 GPa	$2.2 \times 10^9$ Pa	0.38	1.0	$5 \times 10^{-12}$ m <sup>2</sup>

### Water retention and relative permeability curves



### Phase-field parameters:

Critical energy $g_c^t$	Critical energy $g_c^s$	Crack length scale $l$
224 N/m	364 N/m	0.25m

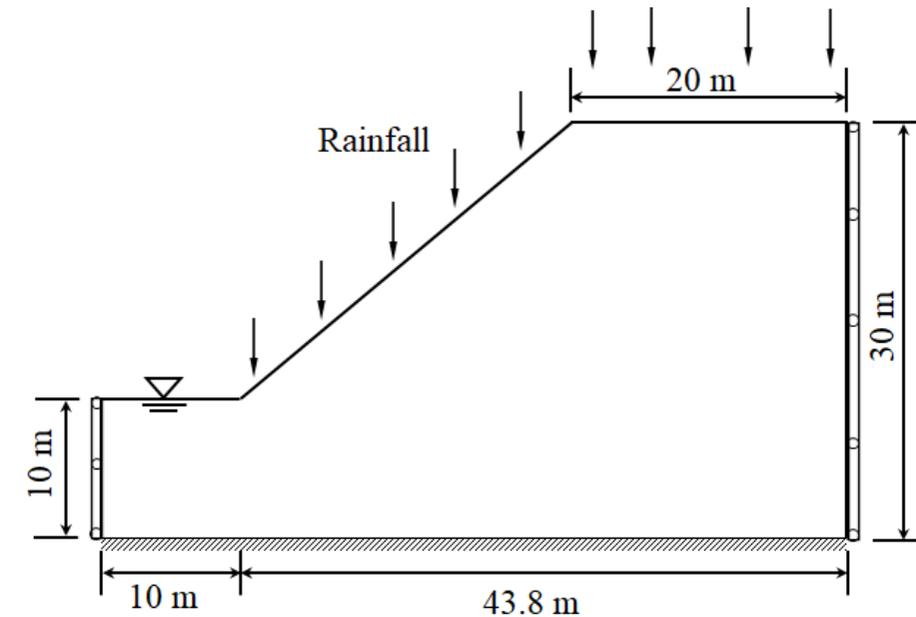


Figure - Boundary conditions

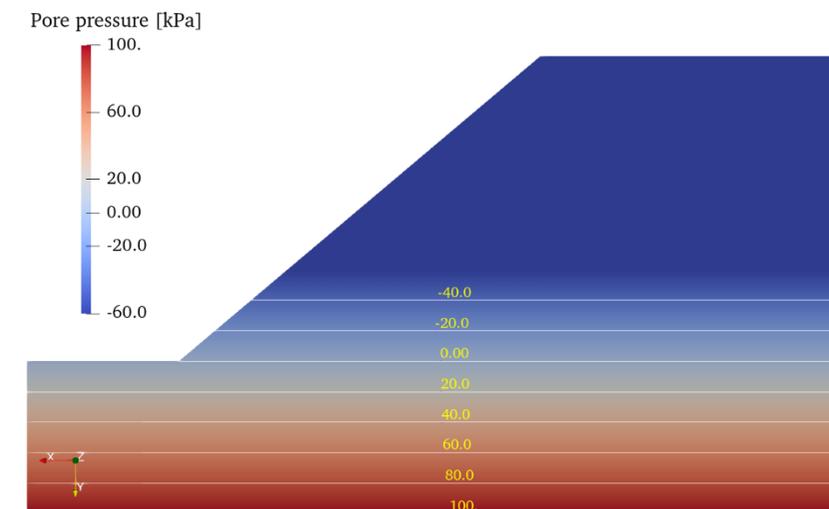


Figure - Initial distribution of pore pressure

## Analysis of rainfall induced landslides

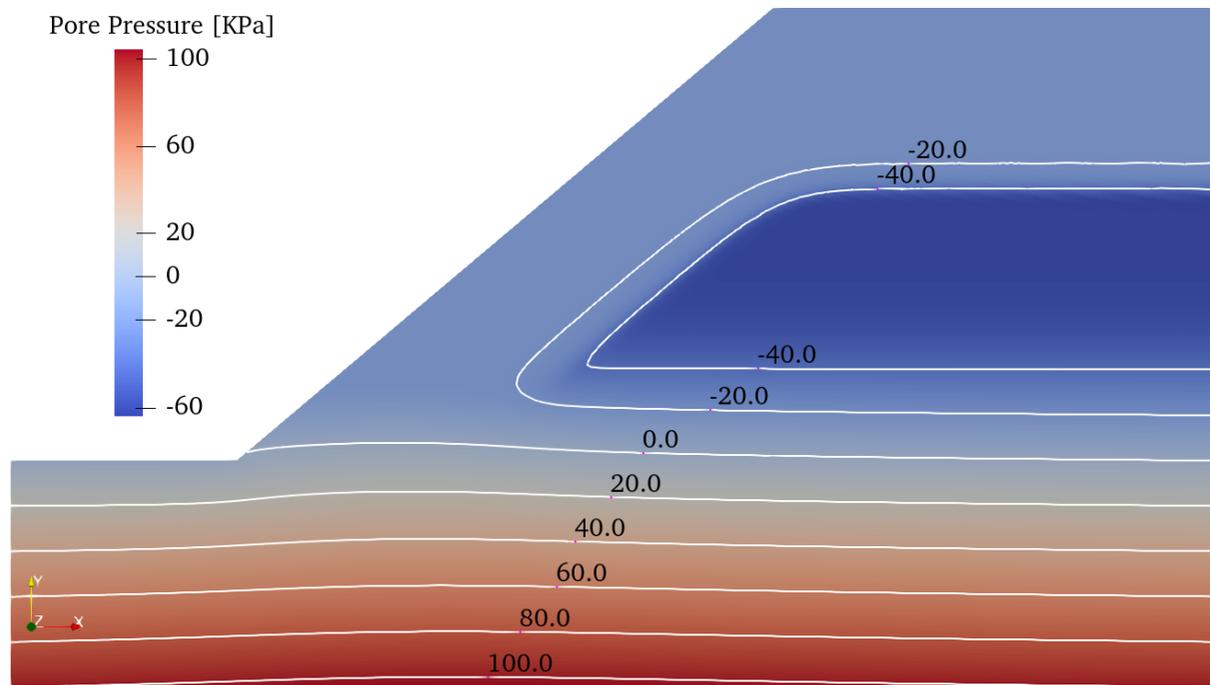


Figure - Distribution of pore pressure without damage  
(after 66h)

### Rainfall infiltration:

- Increment of underground water table
- Partially saturated  $\longrightarrow$  fully saturated  
(toe of the slope)

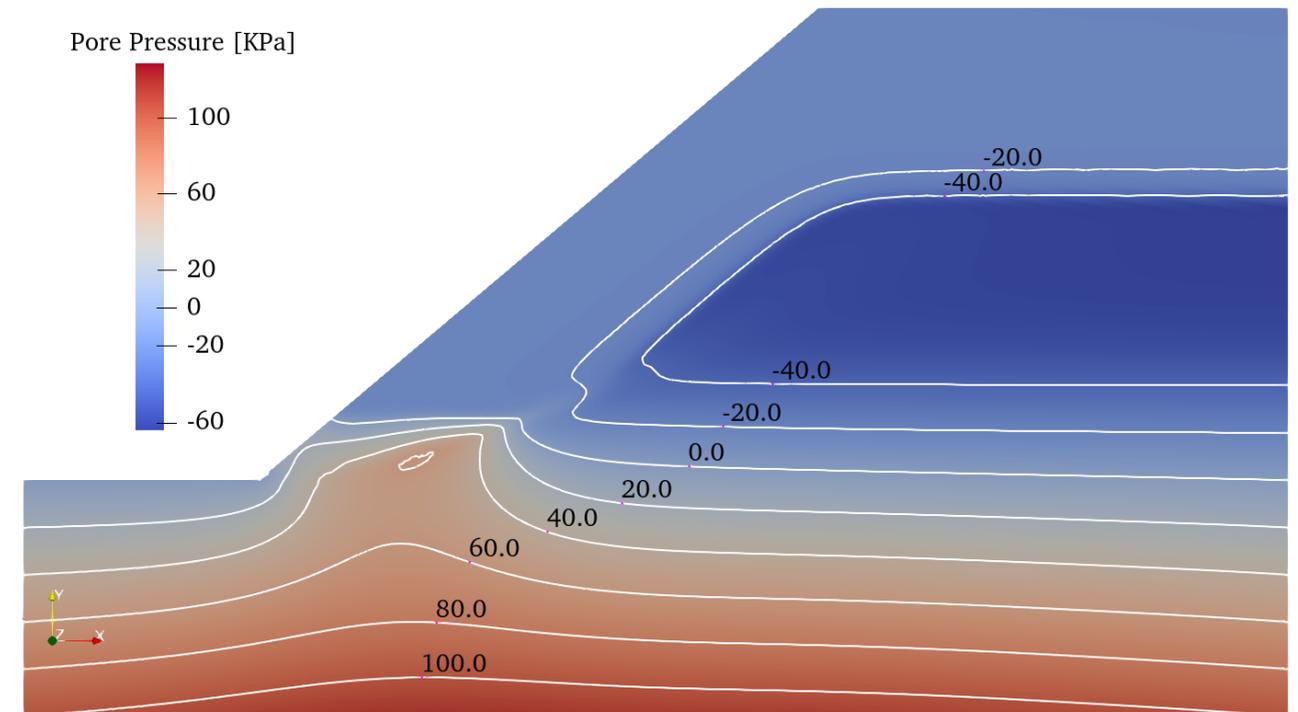


Figure - Distribution of pore pressure when slope failure occurs  
(after 65.5h)

Pore pressure  $\longleftrightarrow$  cracks

## Analysis of rainfall induced landslides

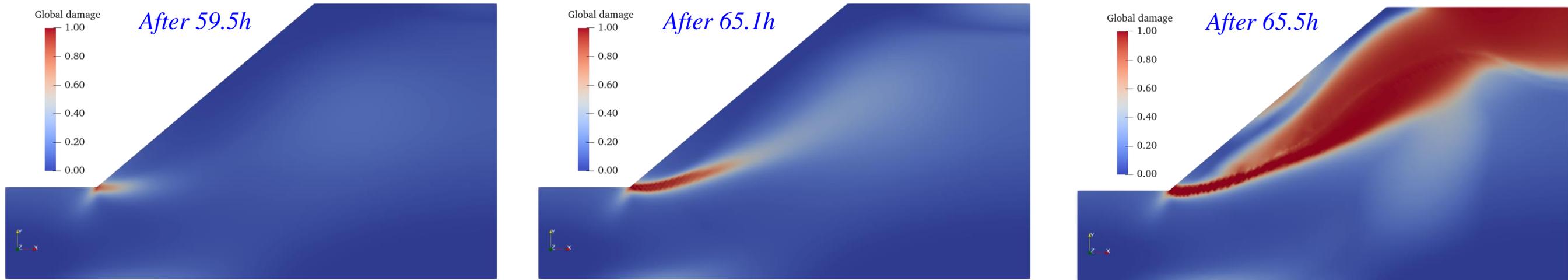


Figure - Distribution of global damage

- Onset of cracks: Around the toe of the slope
- Cracks path: Toe of slope  $\longrightarrow$  top of slope

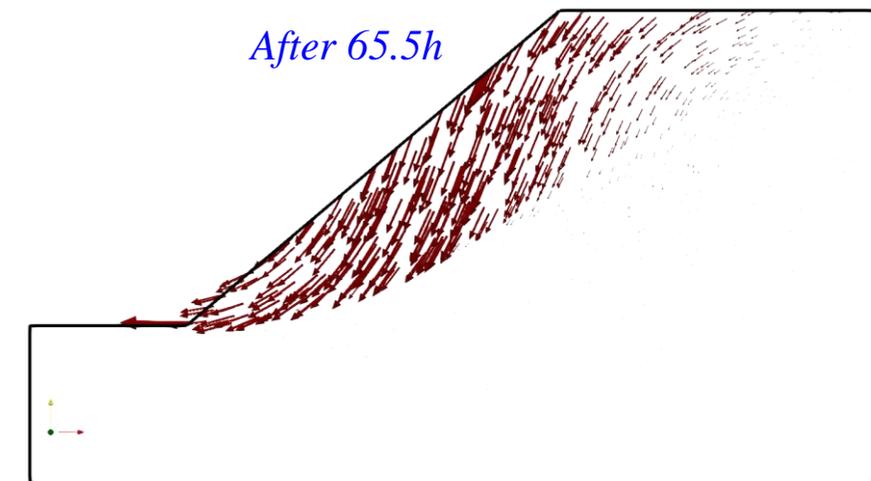
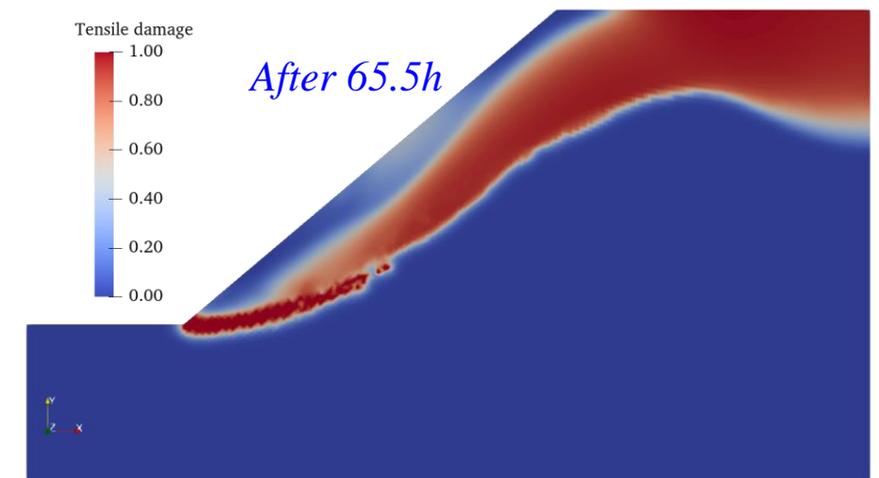
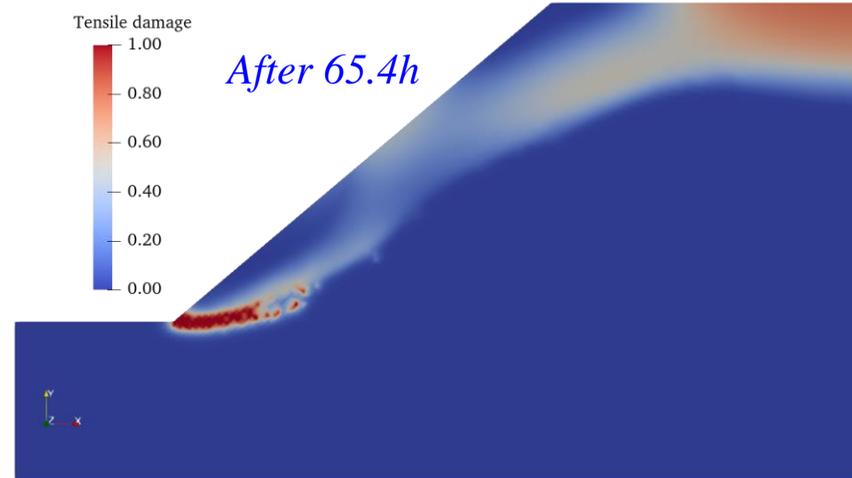
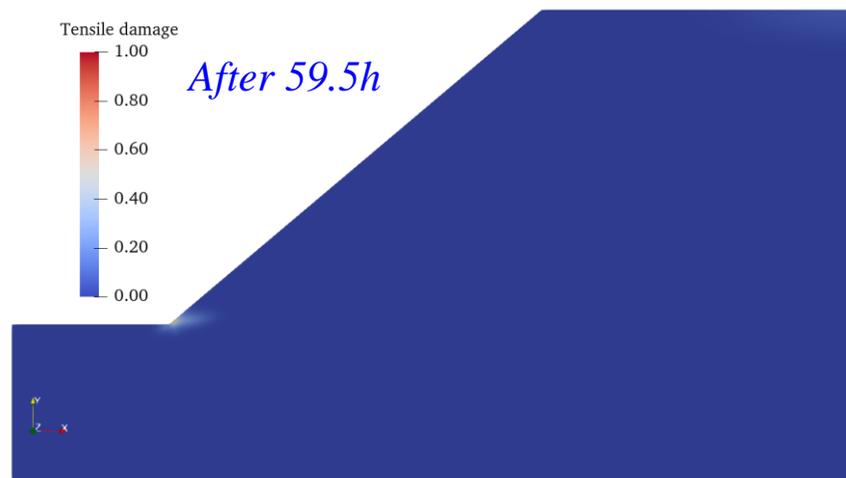
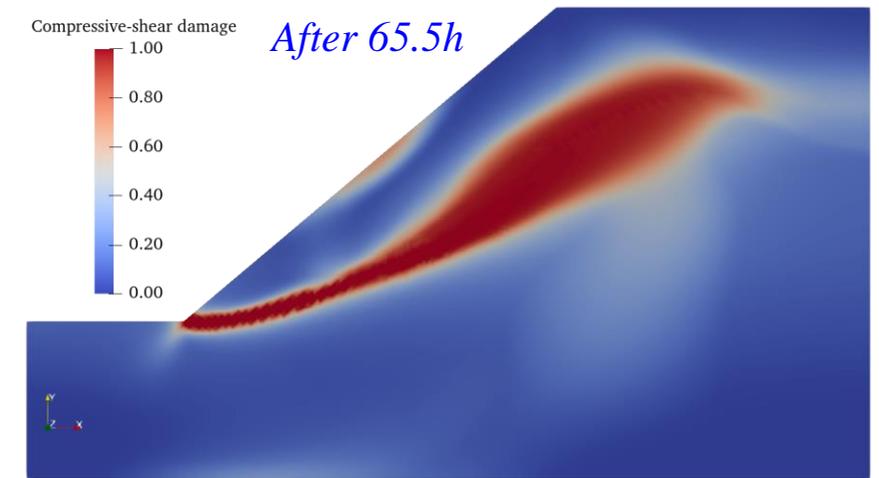
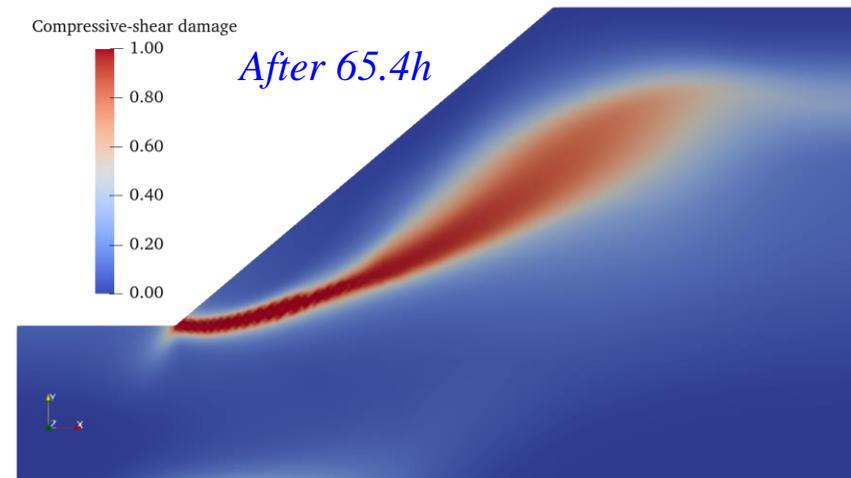
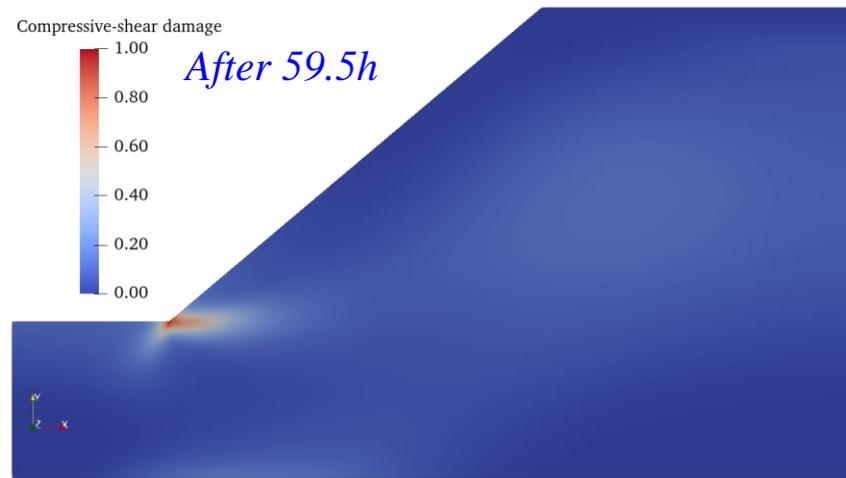


Figure - Displacement vector

# Analysis of rainfall induced landslides

## *Compressive- shear cracks*



## *Tensile cracks*

## Analysis of rainfall induced landslides

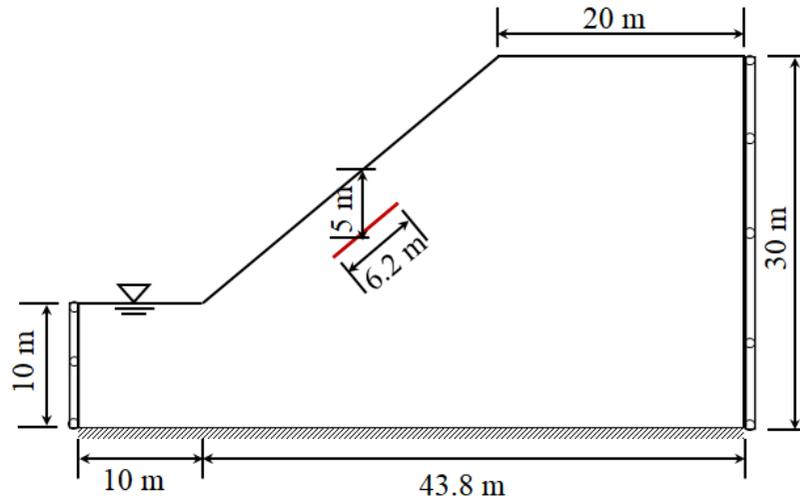


Figure – Distribution of pre-crack

Influences of pre-crack:

- Growth of cracks
- Two-step failure pattern

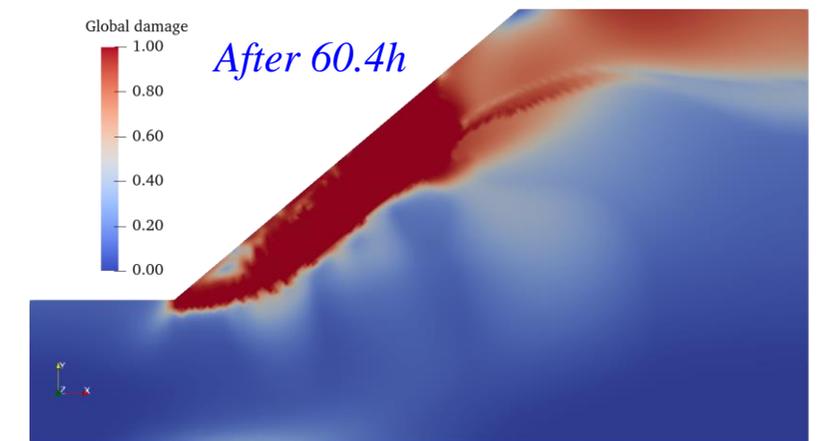
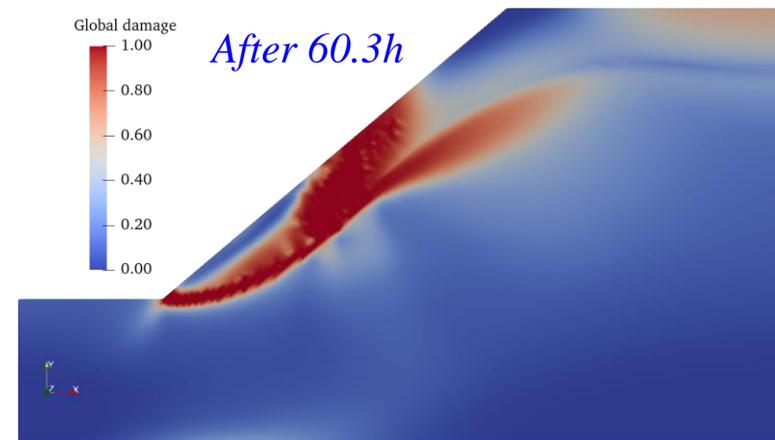
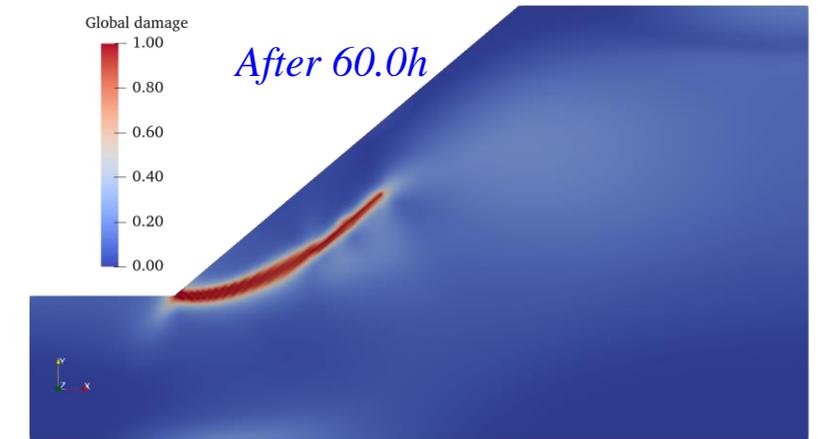
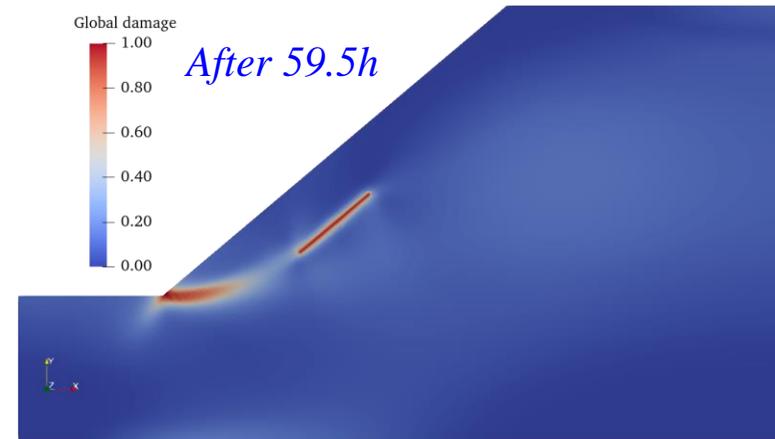


Figure - Distribution of global damage

**04**  
**PART FOUR**

**CONCLUSIONS AND PERSPECTIVES**

## Conclusions

- The proposed method is able to describe the initiation and propagation of localized damage zones and cracks due to rainfall.
- It was found that the shear cracking was the principal failure mechanism of landslides.
- The existence of initial weak zones and fractures enhances the failure process and also affects the cracking pattern

## Perspectives

- Application the proposed numerical method into analysis of reality landslides;
- Considering the material in a slope as a heterogeneous material;
- Proposing a time-dependent phase-field method to simulate the long-term behavior of the slope;
- Taking into account hydrodynamic effects



**Thank you for your attendance !**

Meng WANG, Zhan YU, Jian-Fu SHAO

University of Lille

October 19, 2023