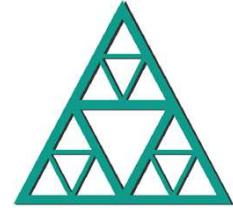




Laboratoire Central  
des Ponts et Chaussées

Thèse de doctorat de  
l'École Nationale des Ponts et Chaussées  
Soutenance prévu 17 décembre 2009  
à l'ENPC



École Nationale  
des Ponts et Chaussées

# **Modélisation de la stabilité des massifs rocheux avec prise en compte de l'endommagement des joints et des effets hydromécaniques**

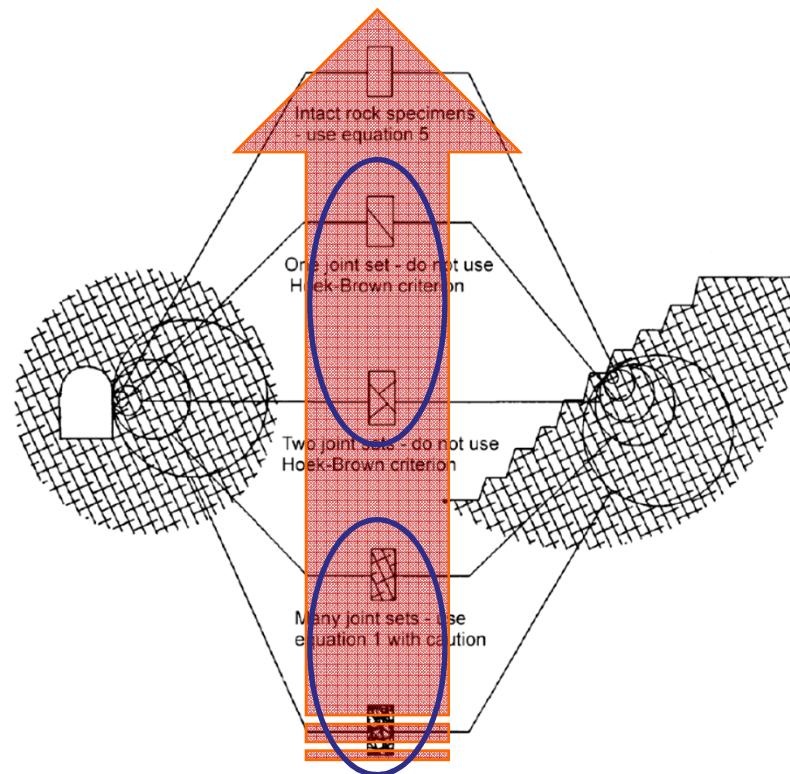
**Pedram BEMANI YAZDI**

**Directeur de thèse: Ahmad POUYA**

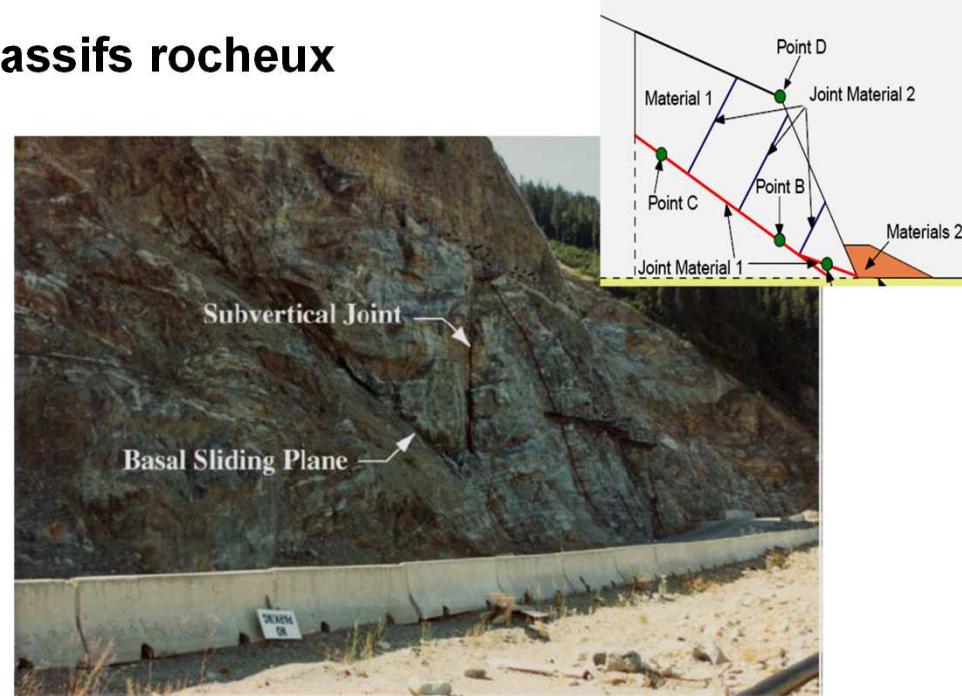
**CFMR Paris - 3 décembre 2009**

# 1. Les discontinuités dans les massifs rocheux

Modélisation explicite  
des discontinuités



Milieu continu  
équivalant  
(homogénéisation)  
ou  
Méthodes empiriques



$E$ ,  $v$ ,  $\phi$ ,  $c$  équivalent

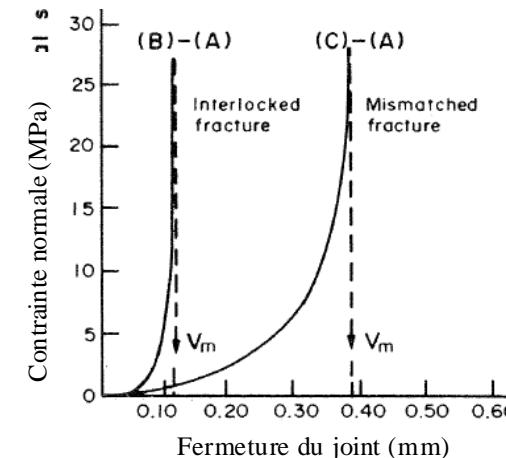


## 2. Comportement mécanique des discontinuités

- **Chargement normal**

**Goodman (1976) :**  $\frac{\sigma_n - \sigma_{n0}}{\sigma_{n0}} = C \left( \frac{u_n}{e - u_n} \right)^t$

**Bandis (1980) :**  $\sigma_n = \frac{u_n}{a - bu_n} = k_{n0} \left( \frac{u_n}{1 - u_n/e} \right)$



- **Critères de la résistance au cisaillement**

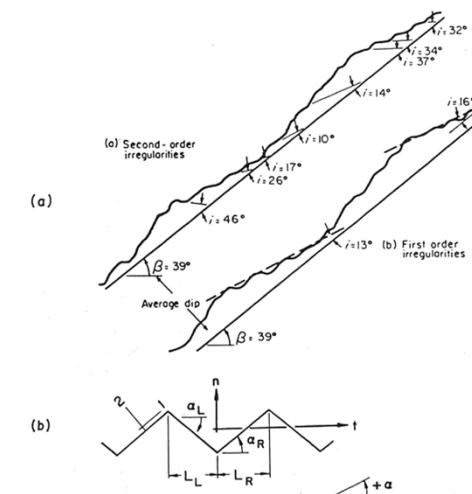
**Critère de Mohr-Coulomb :**  $\tau_p = c + \sigma_n \tan \varphi$

**Critère de Patton (1966) :** 
$$\begin{cases} \tau_p = \sigma_n \tan(\varphi_b + i) & (\sigma_n < \sigma_T) \\ \tau_p = \sigma_n \tan \varphi_r + C_a & (\sigma_n \geq \sigma_T) \end{cases}$$

**Amadei et Saeb (1990) :**  $\tau_p = \sigma_n (1 - a_s) \tan(\varphi_b + i) + a_s \tau_{ca}$

**Critère de Barton (1971) :**  $\tau_p = \sigma_n \tan \left[ JRC \log_{10} \left( \frac{JCS}{\sigma_n} \right) + \varphi_b \right]$

### Des aspérités

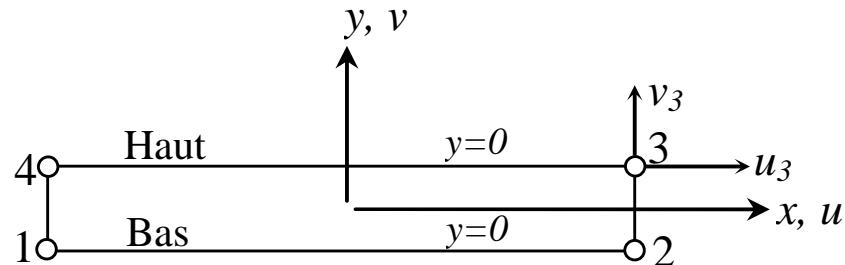


## 2. Comportement mécanique des discontinuités

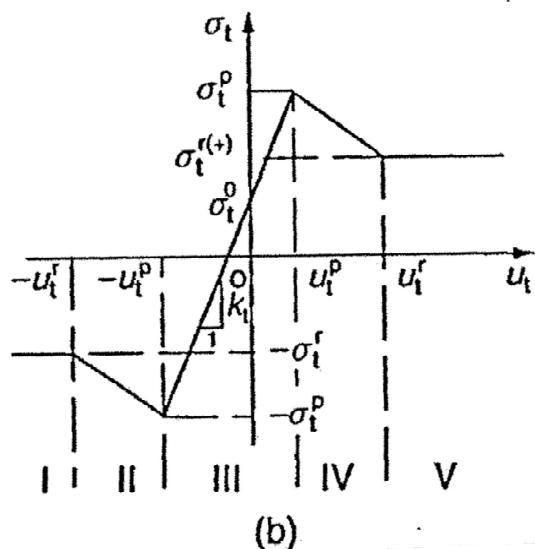
- Modèles constitutifs pour les joints rocheux

Eléments joints d'épaisseur nulle de Goodman  
(Goodman et al., 1968) :

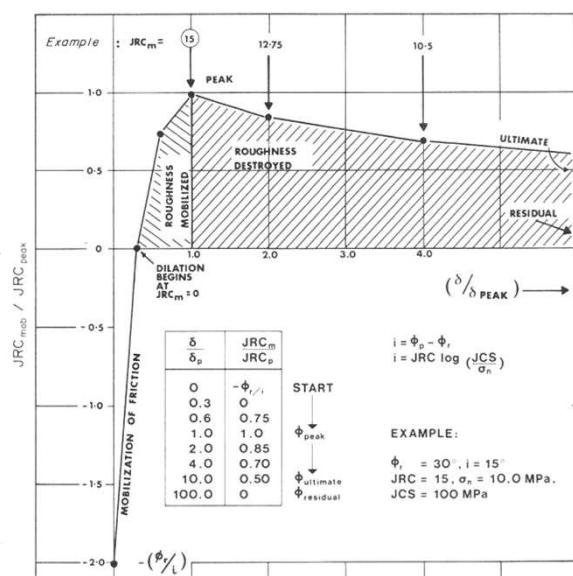
$$\begin{bmatrix} \tau \\ \sigma_n \end{bmatrix} = \begin{bmatrix} k_t & 0 \\ 0 & k_n \end{bmatrix} \begin{bmatrix} u_t \\ u_n \end{bmatrix}$$



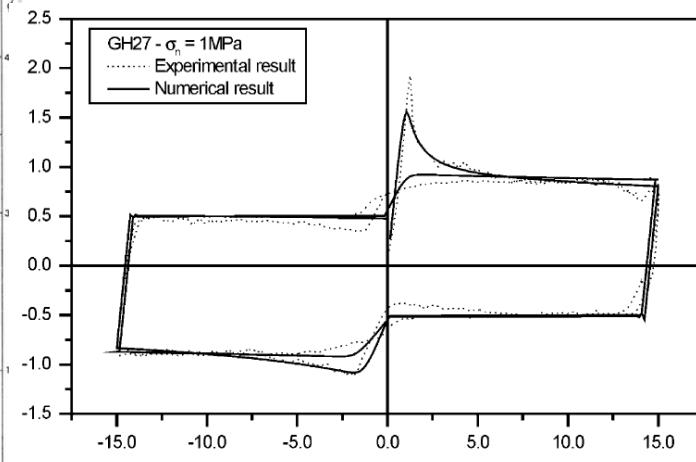
Goodman (1976) :



Barton-Bandis (1977-85) :



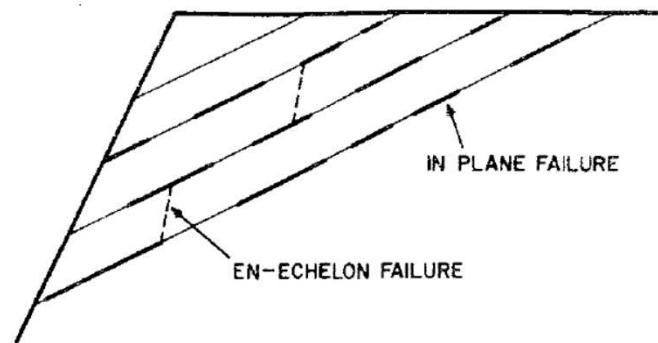
Plesha (1987), Lee et al. (2001) :



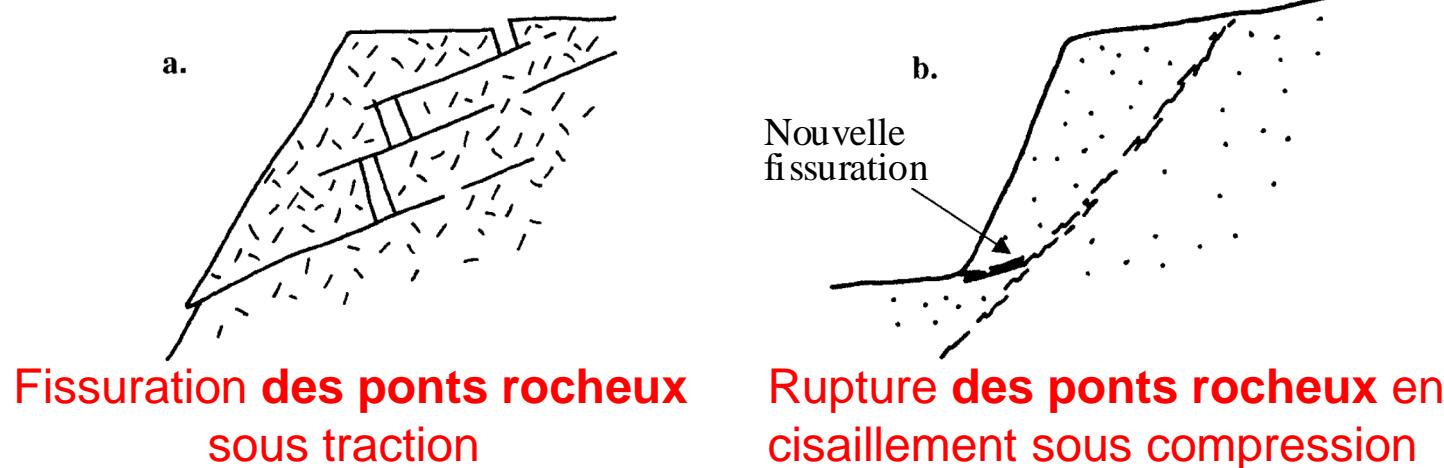
### 3. Un nouveau modèle endommagement-plastique pour discontinuités

- **Rupture fragile par fissuration/endommagement**

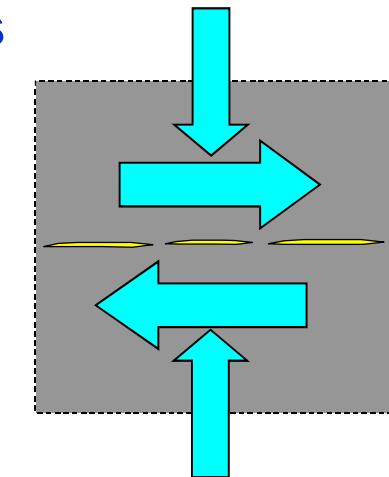
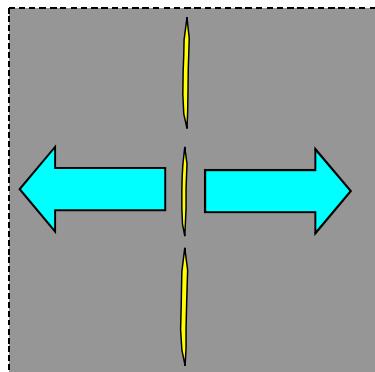
Rupture en plan et rupture en échelon à travers des **discontinuités non persistantes** dans un problème de stabilité des massifs rocheux (Einstein et al., 1983) :



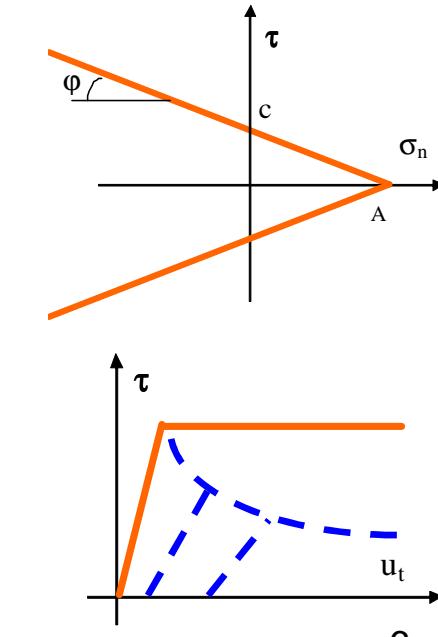
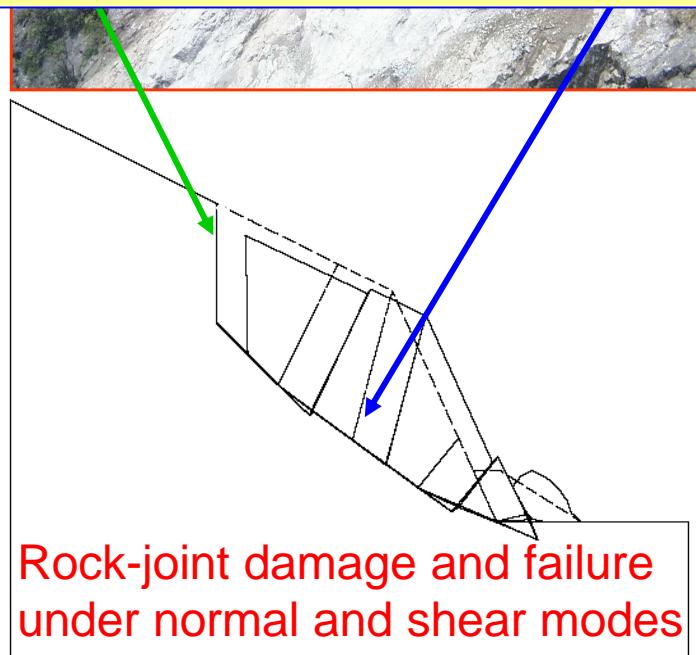
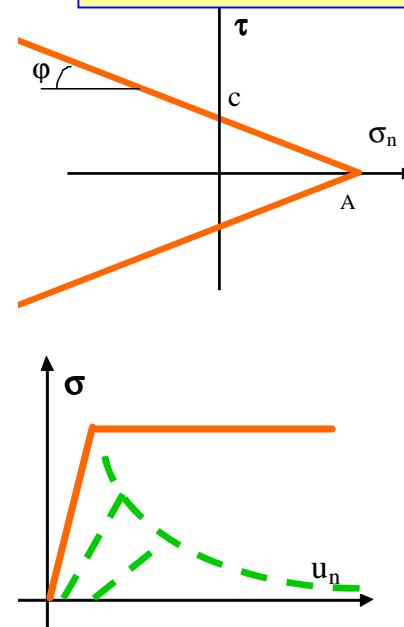
Rupture par fissuration de la roche (Goodman et Kieffer, 2000) :



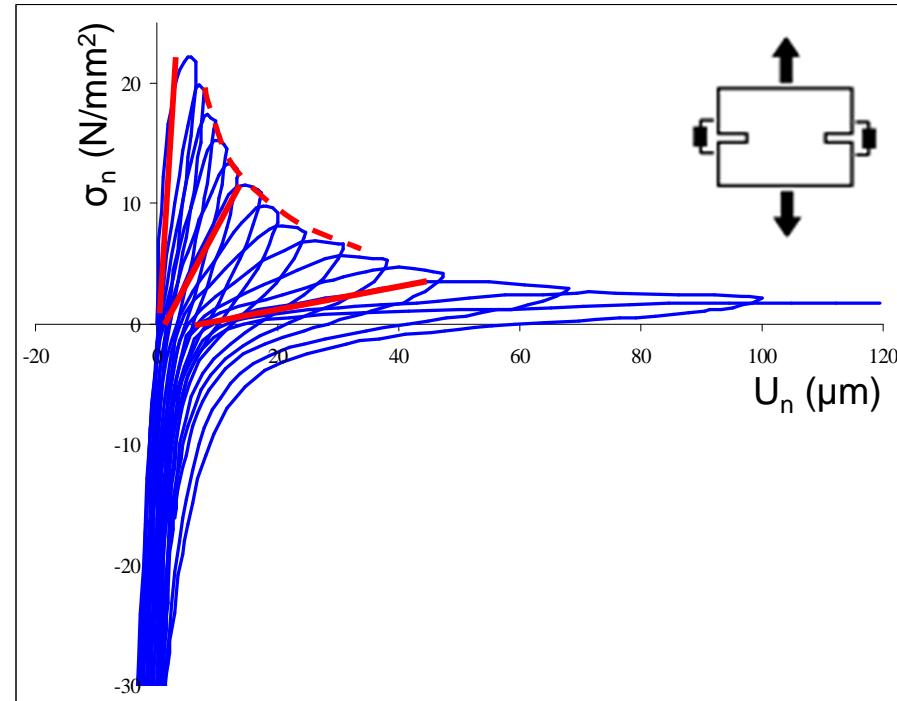
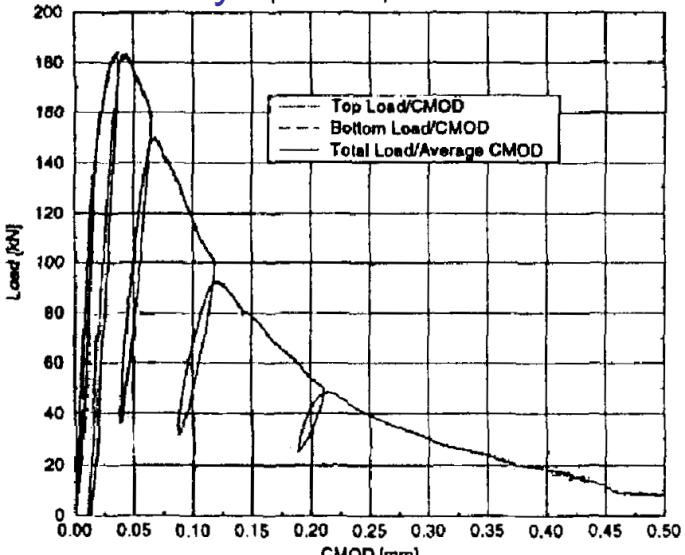
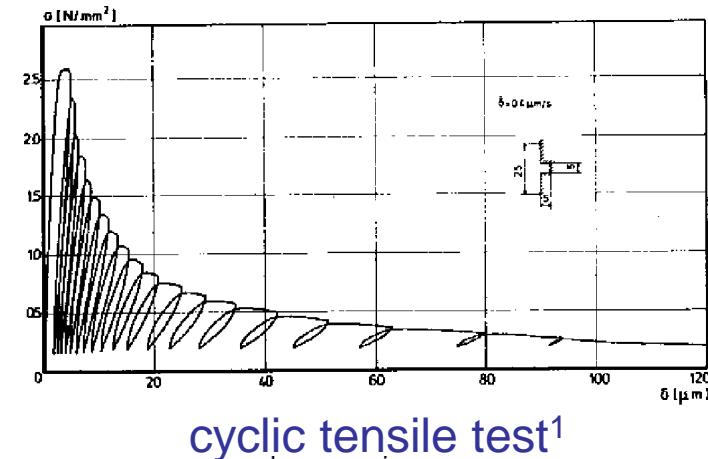
## Damage in rock joints containing rock bridges or cemented contacts under different loading conditions



**Damage, gradual reduction in stiffness and strength parameters  
not represented with aforementioned models ( ex. Mohr-Coulomb)**



## Damage under cyclic loading : experimental results



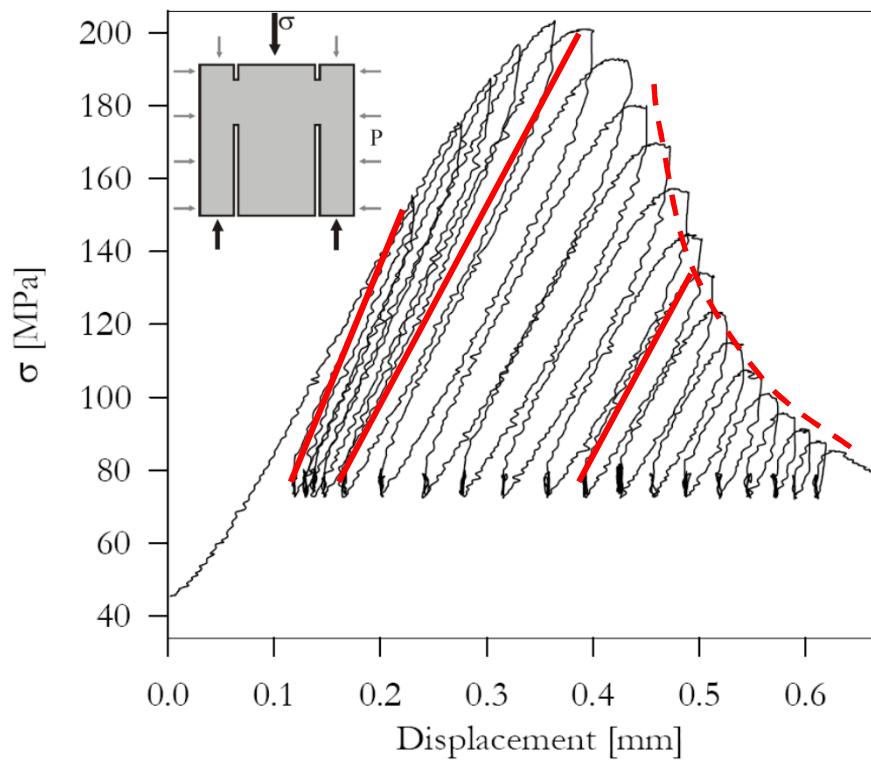
- Decrease of the tensile strength
- Decrease of the stiffness to zero
- Irreversible plastic displacement

<sup>2</sup> Slowik et al. (1996)

<sup>1</sup> Reinhardt, H.W. and Cornelissen, H.A.W. (1984)

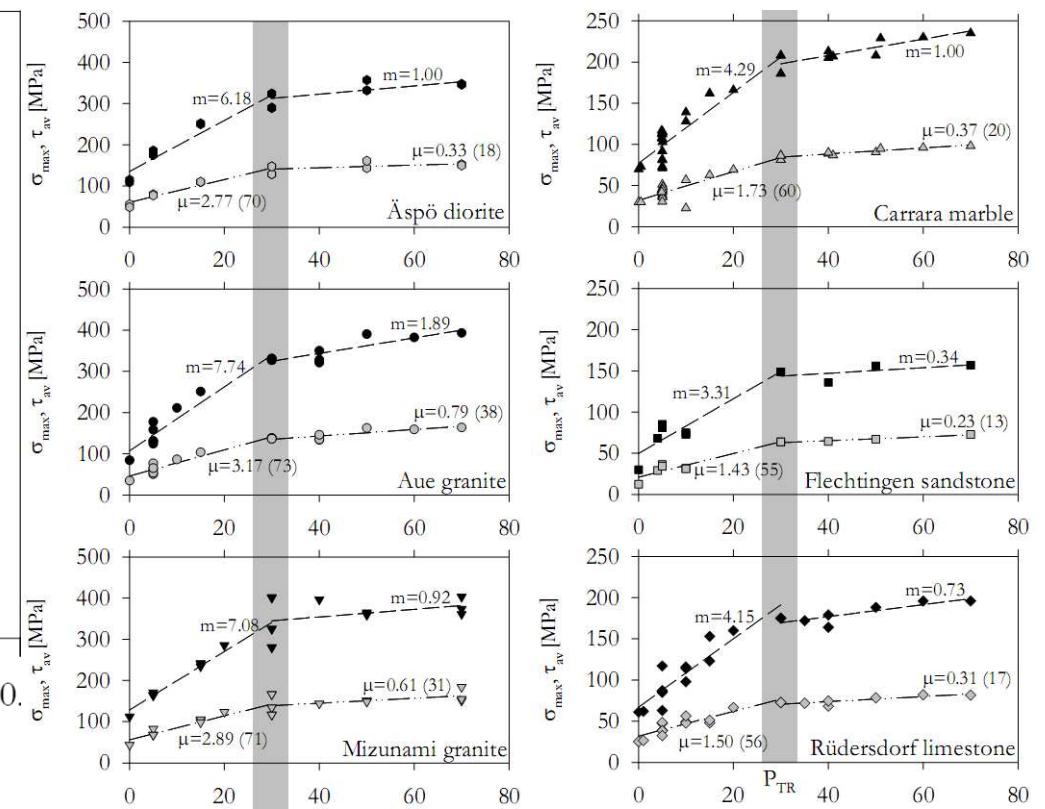
### 3. Un nouveau modèle endommagement-plastique pour discontinuités

Backers (2005) :



Cyclic shear loading of Carrara marble sample at  $P = 40$  MPa

- Decrease of the cohesive strength & stiffness
- Residual stiffness
- Irreversible plastic displacement



Résistance au cisaillement en fonction de la contrainte de confinement – différents types de roche

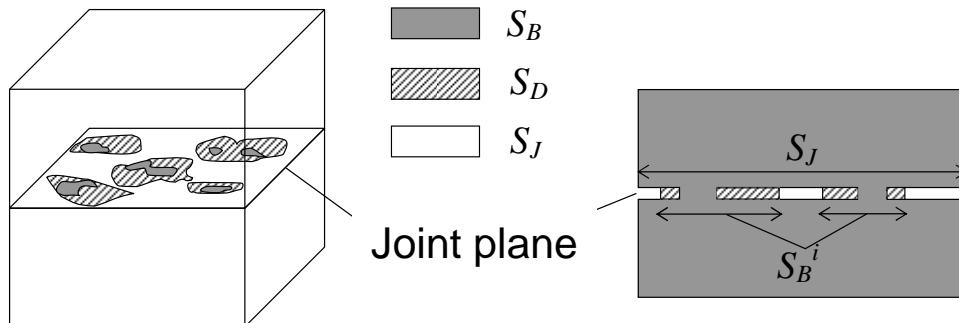
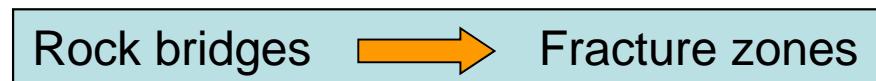
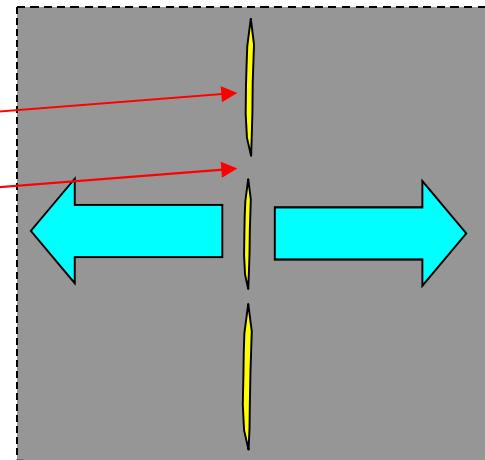
# Damage theory used to define joint damage process

Joint surface :

**Fracture zones**

**Rock-bridges**

Damage process in the joint surface consists in gradual breakage of rock-bridges or gradual development of fractures into the rock bridges



Current damaged surface of rock bridges

Damage variable :  $D = \frac{S_D}{S_B^i}$

Initial surface of rock bridges correspond to intact joint

$$D = 0 \text{ Intact}$$

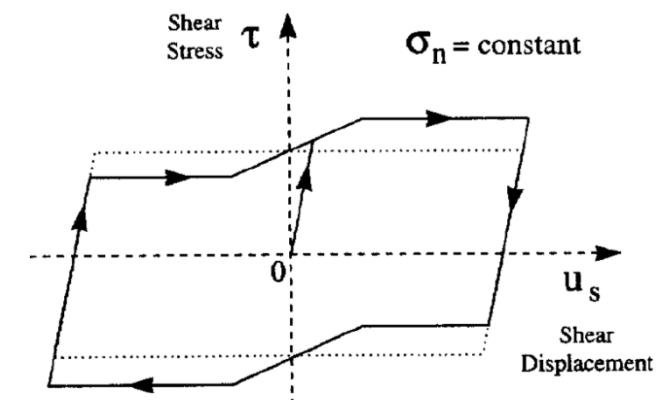
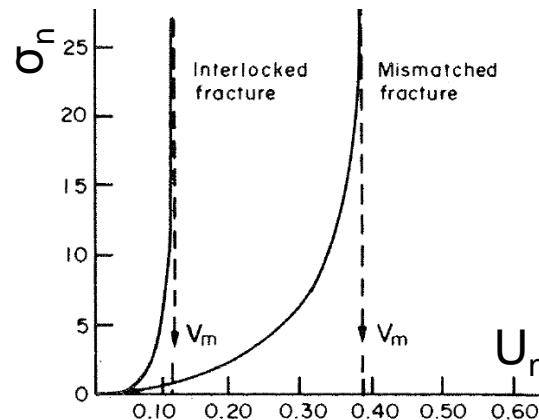
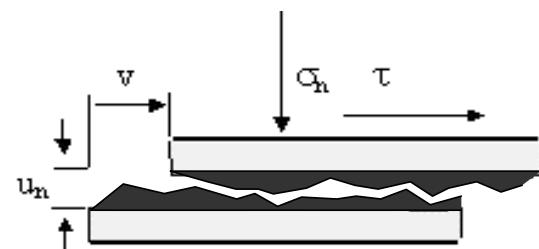
$$D = 1 \text{ Damaged}$$

$$\left\{ \begin{array}{l} \sigma_n = (1-D)k_{nn}u_n \\ \tau = (1-D)k_{tt}u_t \end{array} \right.$$

## Totally damaged joint = Fracture

For a fracture,  
we have:

typical curves of a joint wall's normal and shear  
stress-relative displacement

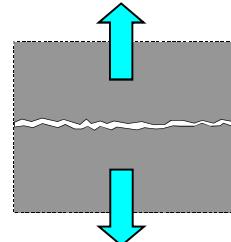


**zero normal stiffness under tensile stresses**

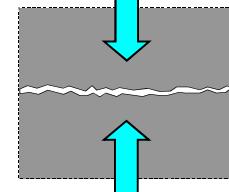
**non zero normal stiffness under compression**

**non zero tangent stiffness under a normal stress**

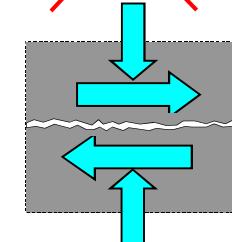
$$\sigma_n = (1 - D) k_{nn} u_n \quad \checkmark$$



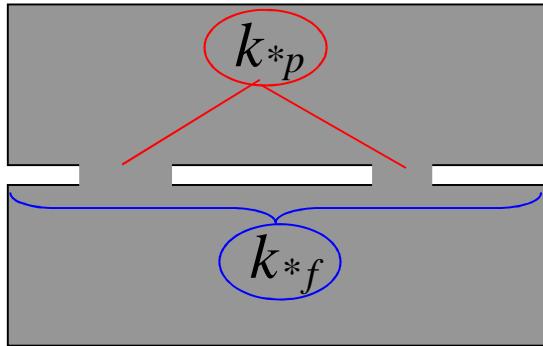
~~$$\sigma_n = (1 - D) k_{nn} u_n$$~~



~~$$\tau = (1 - D) k_{tt} u_t$$~~

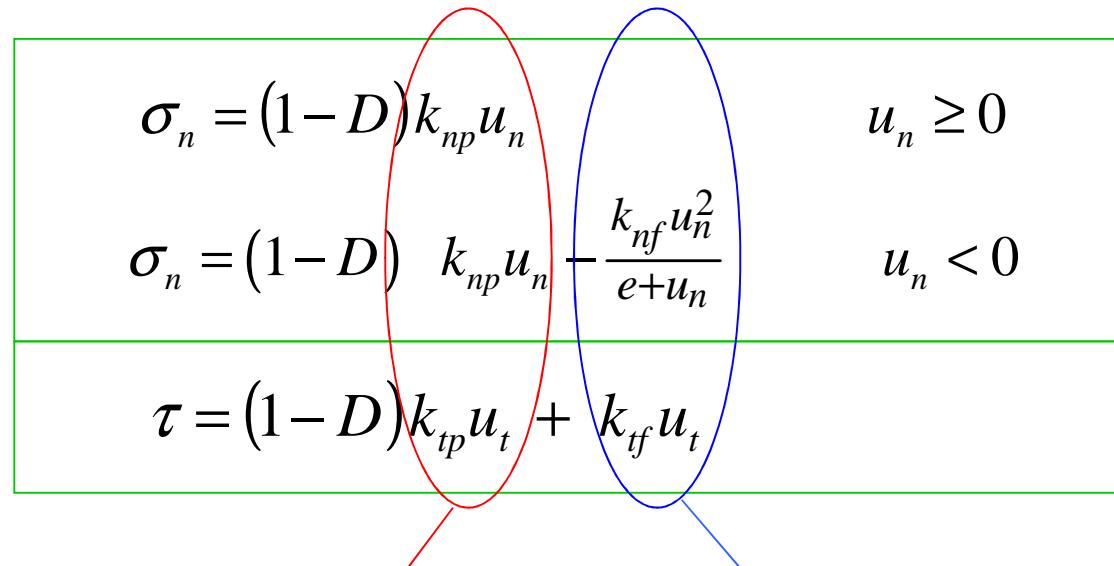


## Normal Stiffness evolution with normal displacement



$k_{np}$ ,  $k_{tp}$ :  
Normal and shear stiffness related to the rock bridges

$k_{nf}$ ,  $k_{tf}$ :  
Normal and shear stiffness related to the joint walls



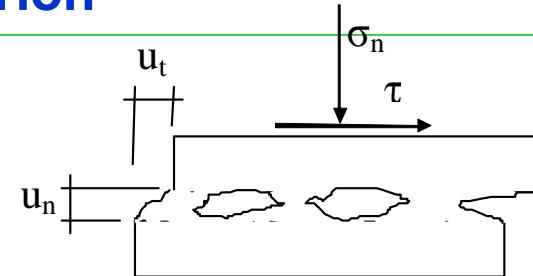
The terms related to rock bridges  
vanish after total damage ( $D=1$ )

The terms related to the joint walls  
are independent of damage

## Damage-Plastic criterion

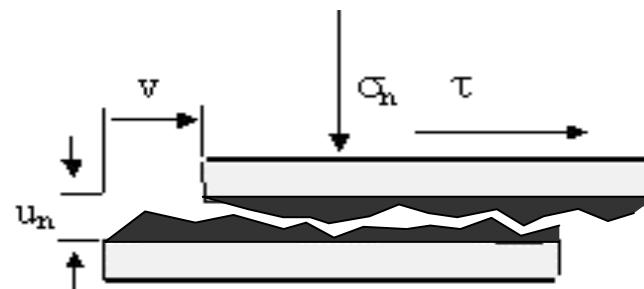
Non-damaged rock joint / Cemented interface ( $D = 0$ ) : Hyperbolic criterion

(Quadratic function of stress)



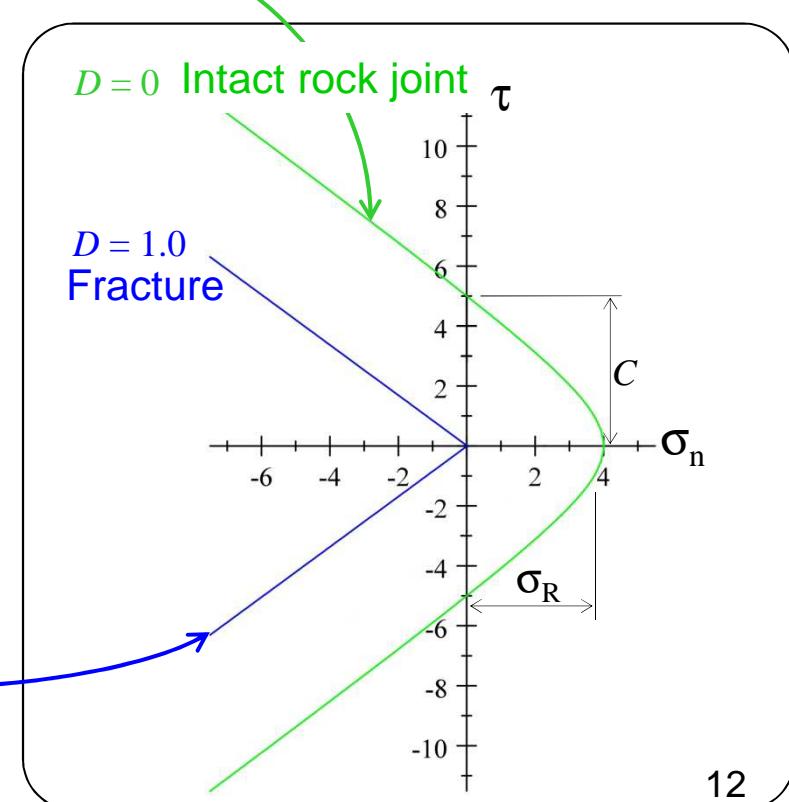
$$F(\tau, \sigma_n, D) = \tau^2 - \sigma_n^2 \tan^2 \phi + 2\sigma_0 \sigma_n - C^2$$

$$s_0 = \frac{C^2 + s_R^2 \tan^2 f}{2s_R}$$



Totally damaged joint ( $D = 1$ ) :  
Bilinear Mohr-Coulomb criterion

$$F(\tau, \sigma_n, D) : \quad \tau = \pm \sigma_n \tan \phi$$



## Damage-Plastic criterion evolution

$$F(\tau, \sigma_n, D) = \tau^2 - \sigma_n^2 \tan^2 \phi + 2\sigma_0 \sigma_n - C^2$$



$$F(\tau, \sigma_n, D) = \tau^2 - \sigma_n^2 \tan^2 \phi + 2g(D)\sigma_0 \sigma_n - g^2(D)C^2$$

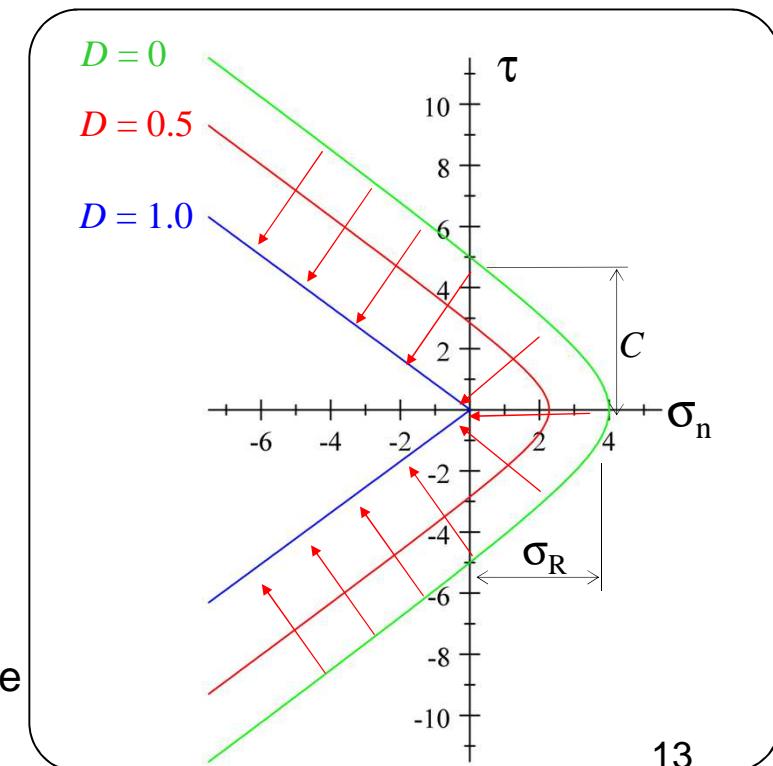
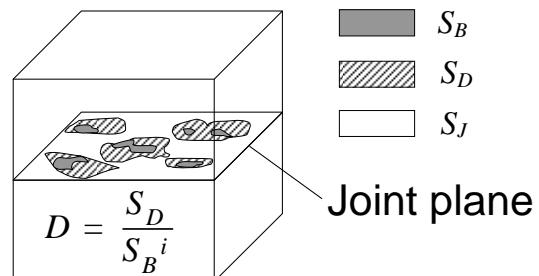


$$F(\tau, \sigma_n, D) = \tau \pm \sigma_n \tan \phi$$

**“ Damage Function ” :**

$$g(D) = (1-D)(1-\beta \ln(1-D))$$

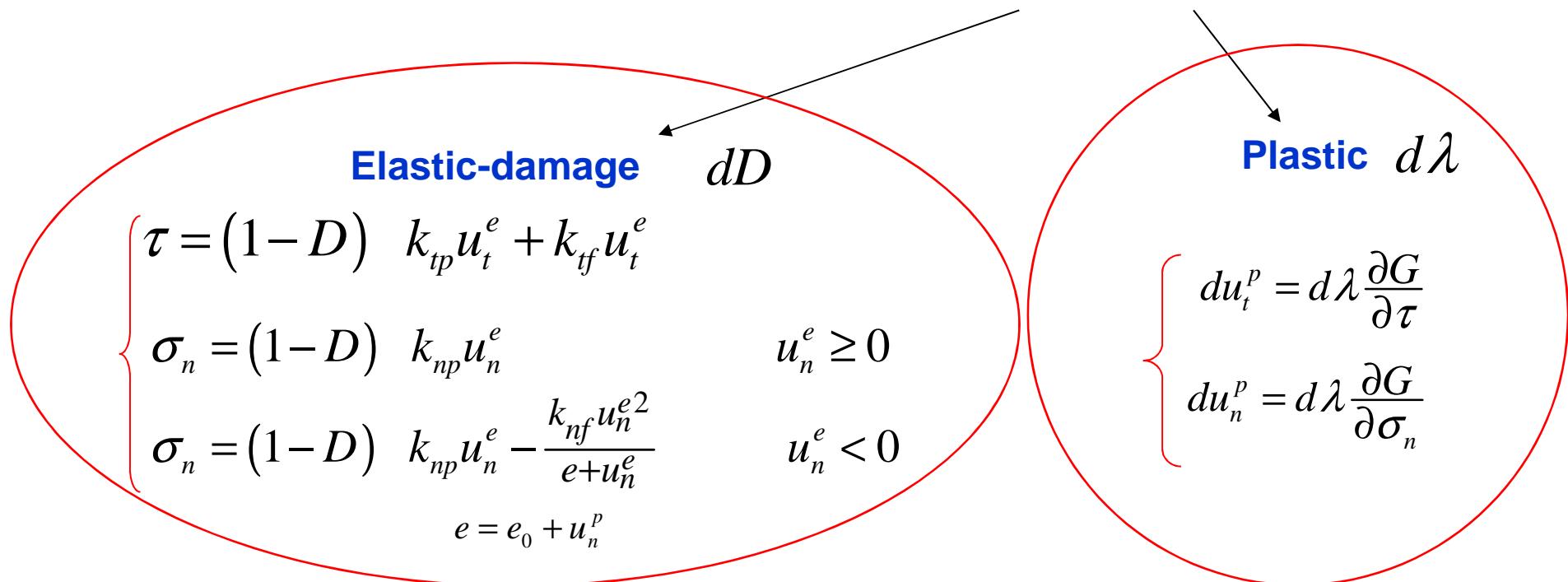
$$\begin{cases} g(D)=1 & \text{for } D=0 \\ g(D)=0 & \text{for } D=1 \end{cases}$$



## Damage and Plastic relative displacements

Each displacement increment is supposed to be composed of two parts: damage and plastic components

$$\left\{ \begin{array}{l} du_n = du_n^e + du_n^p \\ du_t = du_t^e + du_t^p \end{array} \right.$$



**Plastic potential :**  $G(\tau, \sigma_n, D) = \tau^2 - \sigma_n^2 \tan^2 \psi + 2g(D)\sigma_s \sigma_n - g^2(D)C_s^2$

$$\sigma_s = \frac{C_s^2 + \sigma_R^2 \tan^2 \psi}{2\sigma_R}$$

# Damage Evolution and Plastic Displacement

**Damage-plastic consistency condition :**

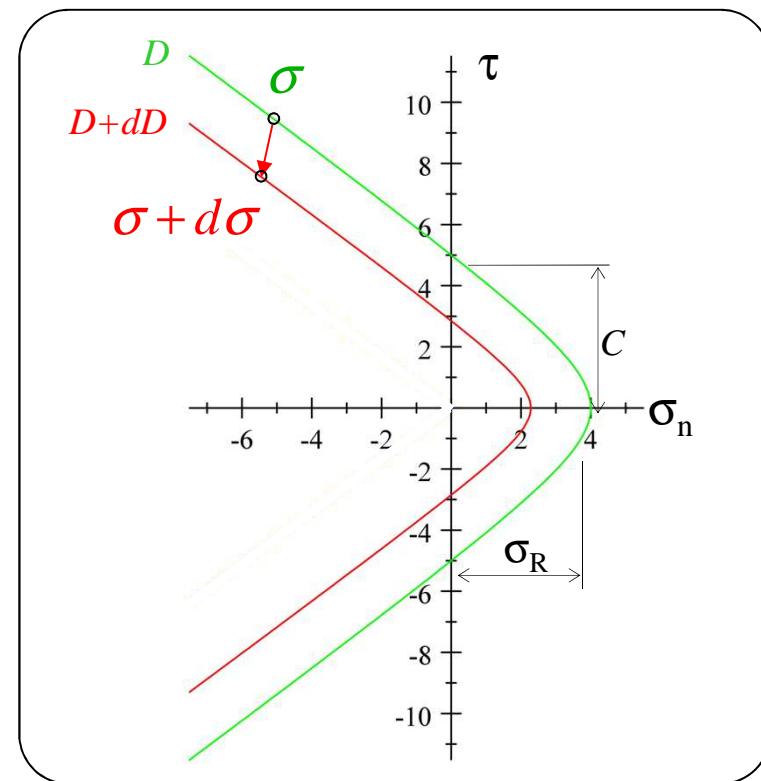
$$F(\tau, \sigma_n, D) \quad \rightarrow \quad dF = \frac{\partial F(\tau, \sigma_n, D)}{\partial \tau} d\tau + \frac{\partial F(\tau, \sigma_n, D)}{\partial \sigma_n} d\sigma_n + \frac{\partial F(\tau, \sigma_n, D)}{\partial D} dD = 0$$

**Damage parameter increment  
as function of stress increments :**

$$dD = \frac{\tau d\tau + \left( g(D) \sigma_0 - \sigma_n \tan^2 \phi \right) d\sigma_n}{\left[ \beta - (1 - \beta \ln(1-D)) \right] \left( g(D) C^2 - \sigma_0 \sigma_n \right)}$$

**Two parts of displacement increments  
-damage and plastic components- are  
supposed to be related with**

$$d\lambda = \frac{dD}{A(g(D))^m}$$



### 3. Un nouveau modèle endommagement-plastique pour discontinuités

- Choix des paramètres

Les paramètres de la résistance :

$$\sigma_R, C, \varphi$$

Les paramètres de la dilatance :

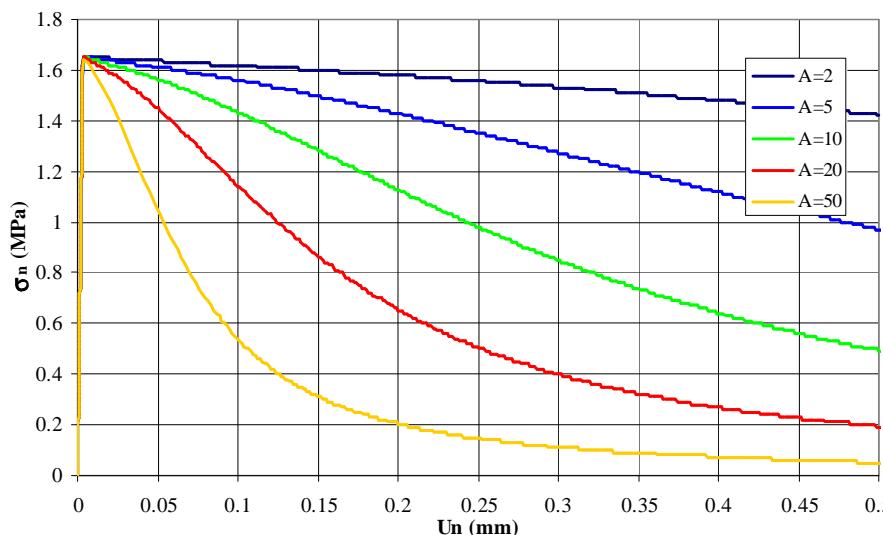
$$\psi, C_s$$

Les paramètres de raideurs :

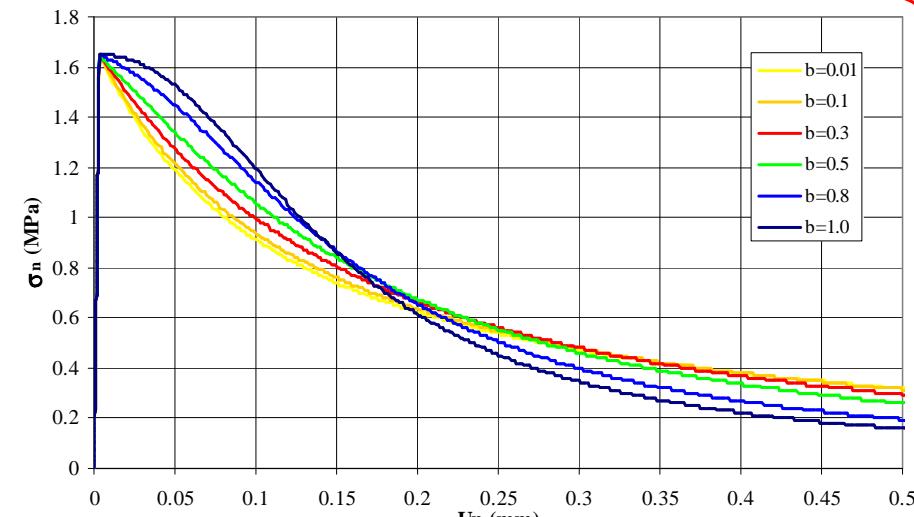
$$k_{np}, k_{nf}, e_0, k_{tp}, k_{tf}$$

Les paramètres de forme et couplage endo-plastique :

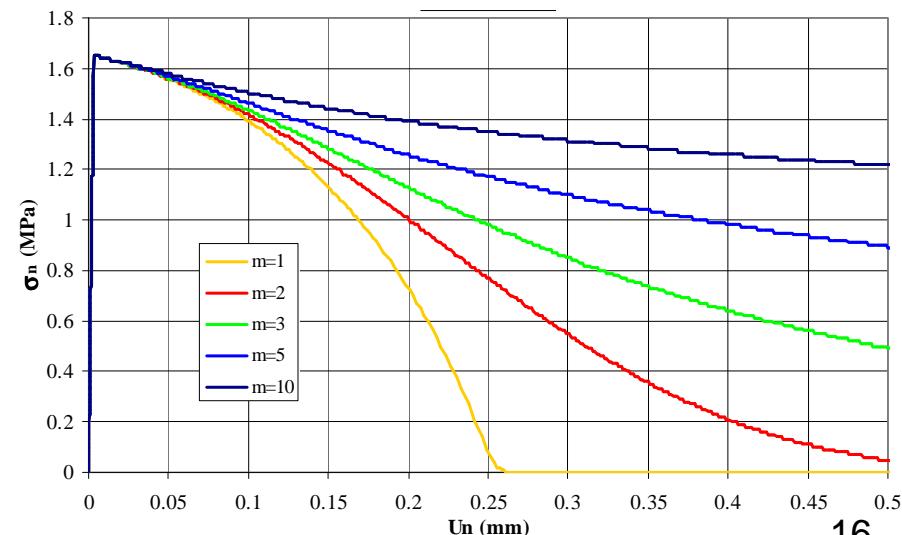
$$\beta, A, m$$



Effet du paramètre  $A$  avec  $\beta = 0,8$  et  $m=3$

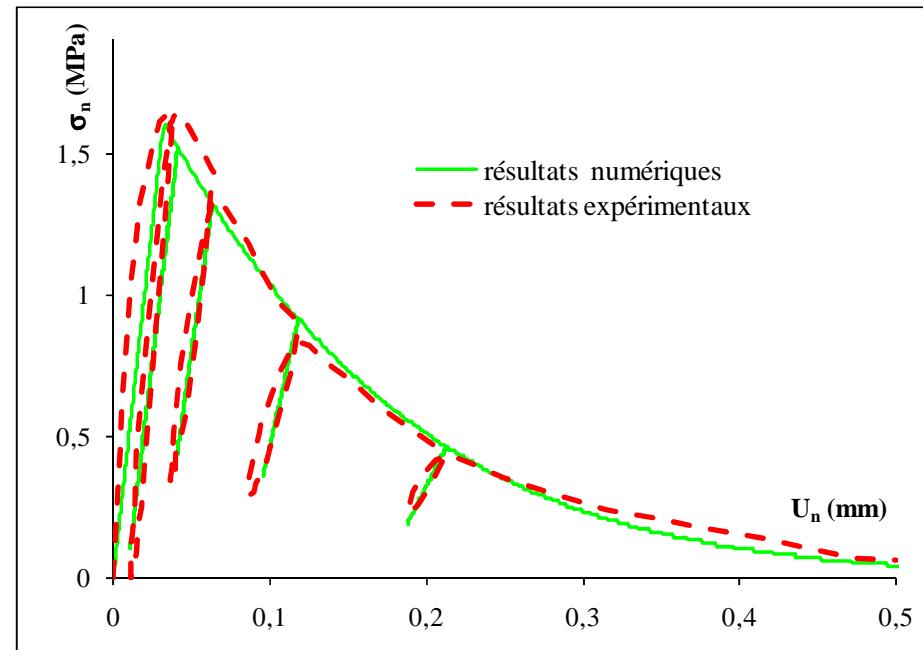
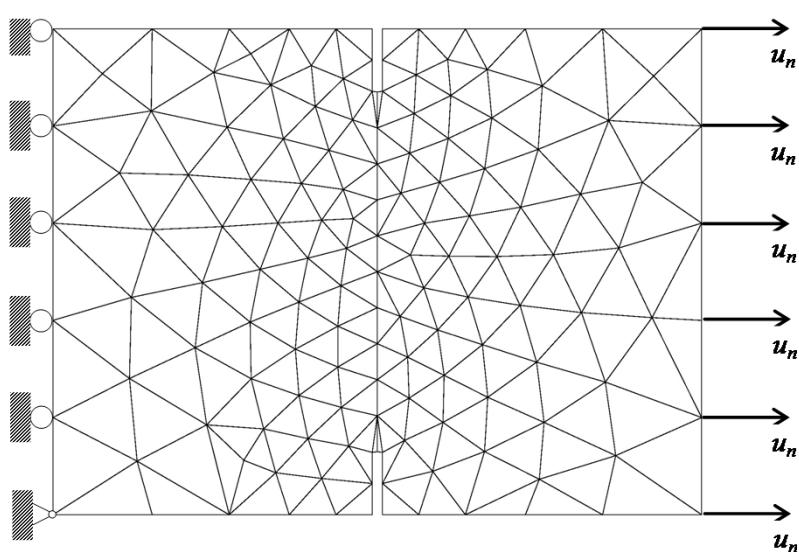


Effet du paramètre  $\beta$  avec  $A=20$  MPa/mm et  $m=3$



Effet du paramètre  $m$  avec  $\beta = 0,8$  et  $A = 10$  MPa/mm

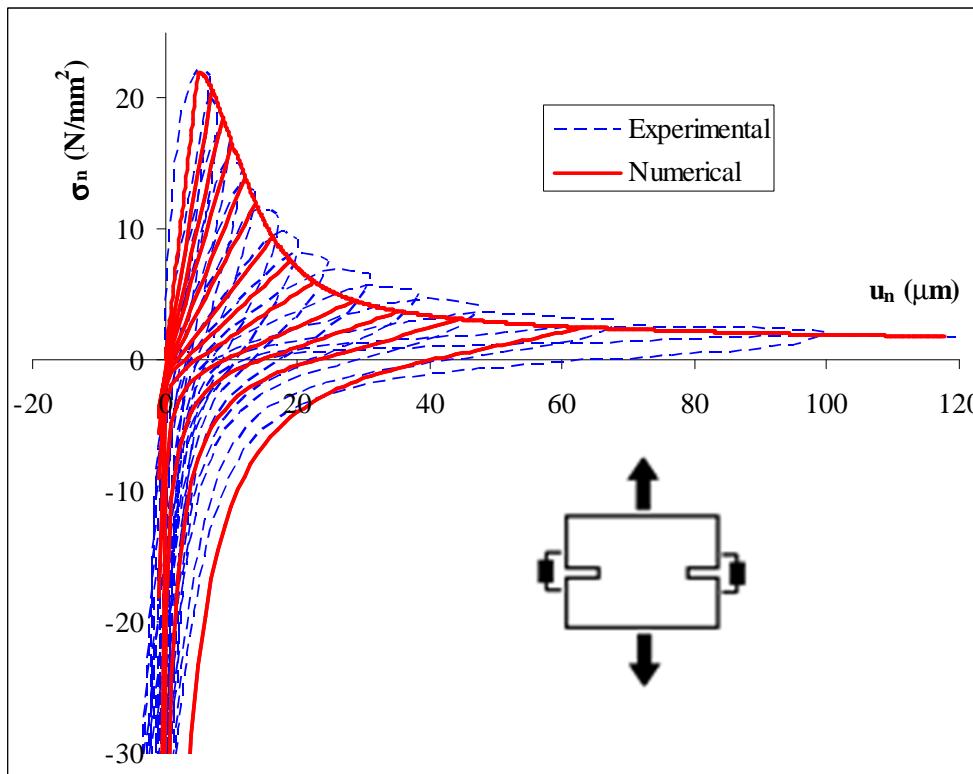
# Implementation in CESAR-LCPC FE Code and Comparison with Experimental Results



$k_{np}$ (MPa/ mm)	$k_{nf}$ (MPa/ mm)	$e_0$ (mm)	$k_{tp}$ (MPa/ mm)	$k_{tf}$ (MPa/ mm)	$\varphi$ (°)	$C$ (MPa)	$\sigma_R$ (MPa)	$\psi$ (°)	$C_S$ (MPa)	$\beta$ -	$A$ (MPa/ mm)	$m$ -
50	10	0,05	4	16,8	45	2	1,65	10	2	0,2	20	2,1

Modélisation numérique contre les résultats expérimentaux de l'essai de traction directe à grande échelle de Slowik et al. (1996) sur le béton

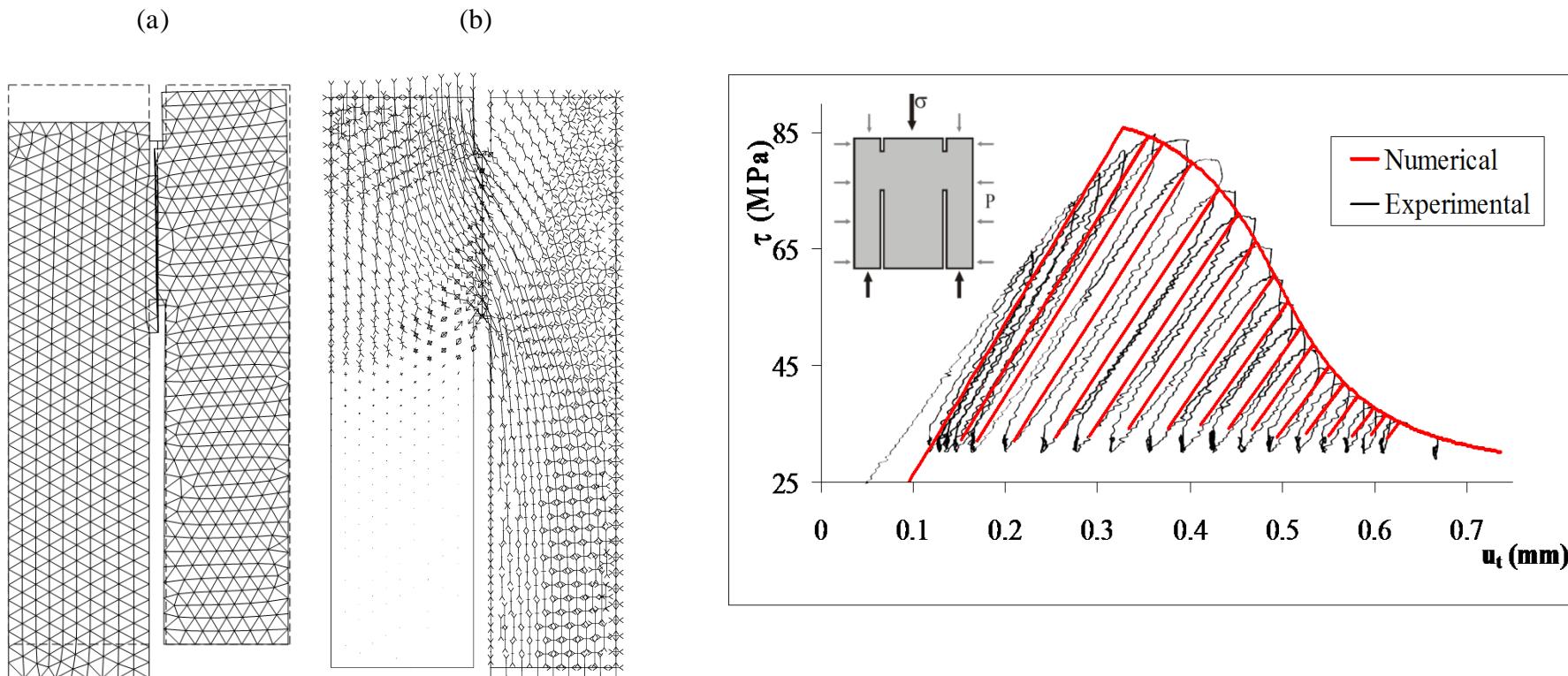
## Implementation in CESAR-LCPC FE Code and Comparison with Experimental Results



$k_{np}$ (MPa/ mm)	$k_{nf}$ (MPa/ mm)	$e_0$ ( $\mu\text{m}$ )	$k_{tp}$ (MPa/ mm)	$k_{tf}$ (MPa/ mm)	$\varphi$ ( $^\circ$ )	$C$ (MPa)	$\sigma_R$ (MPa)	$\psi$ ( $^\circ$ )	$C_S$ (MPa)	$\beta$ -	$A$ (MPa/ mm)	$m$ -
660	5	1,2	0	200	40	5,0	1,65	0	0,5	2,4	50	5

Reinhardt and Cornelissen's stress-relative displacement curve under cyclic tensile-compression test and the numerical results of damage-plastic model

## Implementation in CESAR-LCPC FE Code and Comparison with Experimental Results

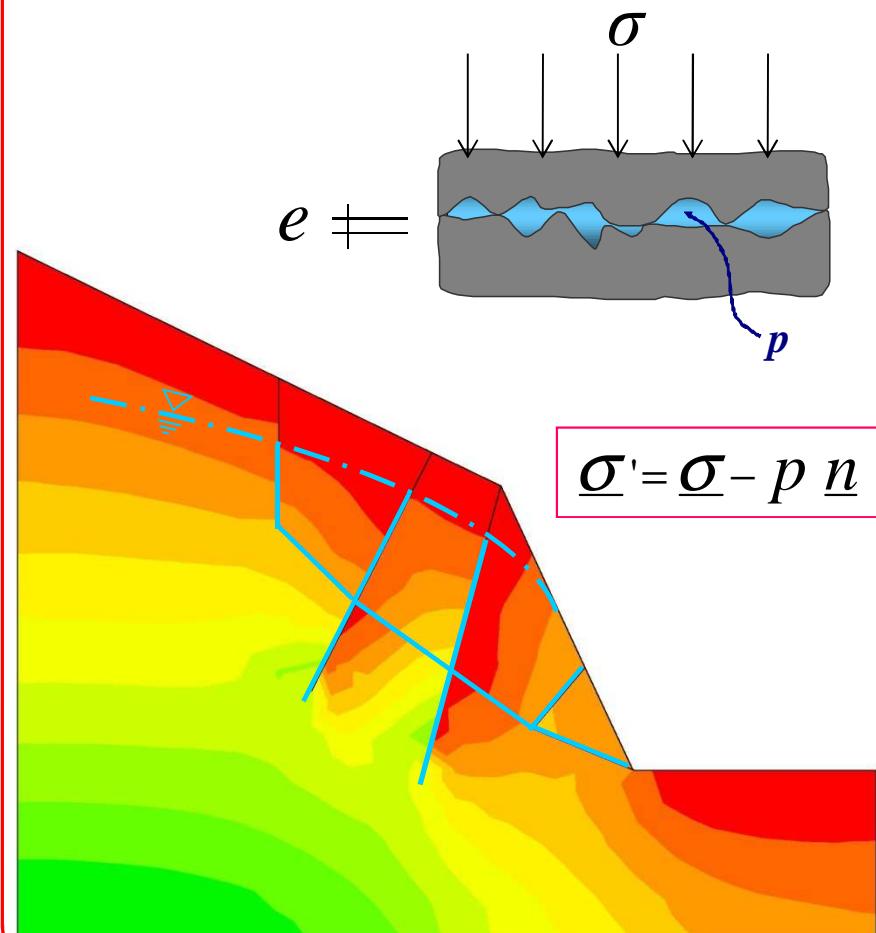


$k_{np}$ (MPa/ mm)	$k_{nf}$ (MPa/ mm)	$e_0$ (mm)	$k_{tp}$ (MPa/ mm)	$k_{tf}$ (MPa/ mm)	$\varphi$ (°)	$C$ (MPa)	$\sigma_R$ (MPa)	$\psi$ (°)	$C_S$ (MPa)	$\beta$ -	$A$ (MPa/m m)	$m$ -
640	23	0,15	52	210	50	37	3,5	12	12,8	0,5	1000	2.44

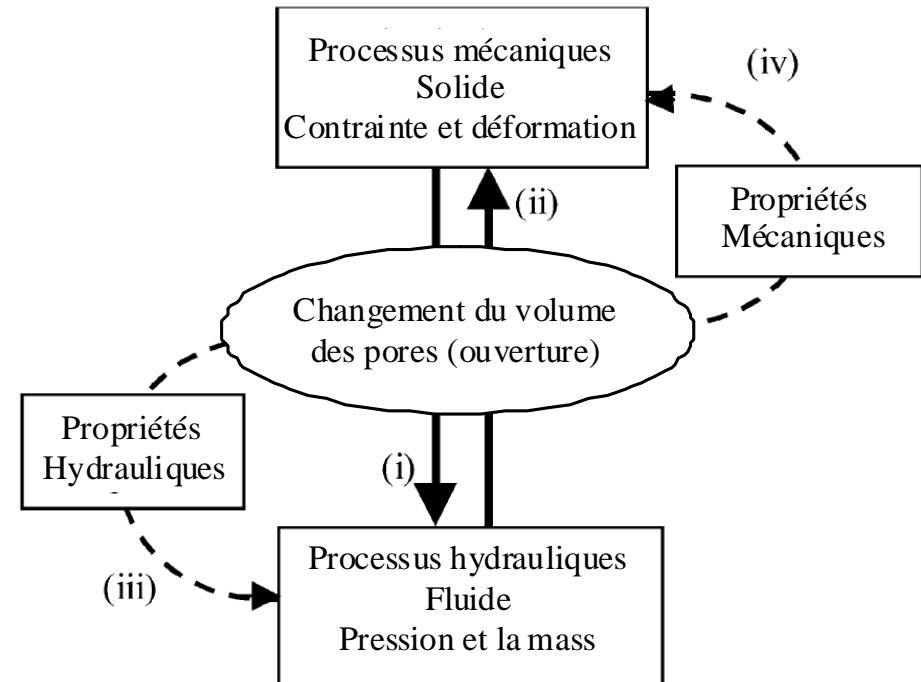
Cyclic shear test of Backers (2005) on Carrara marble sample  
compared to the numerical results of damage-plastic model

## 4. Couplage hydromécanique dans les massifs rocheux fracturés

### La contrainte effective

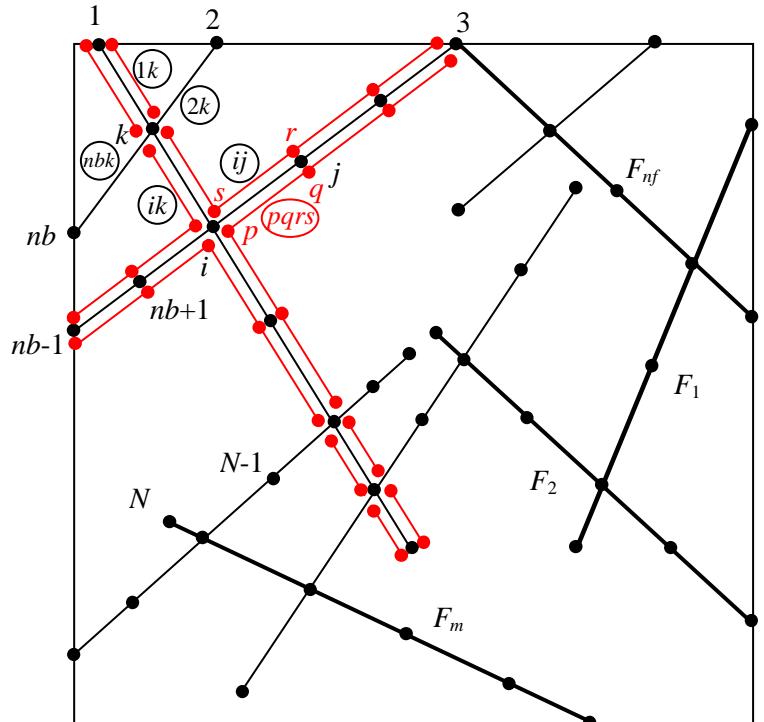


### Couplage hydromécanique directe et indirect



## 4. Couplage hydromécanique dans les massifs rocheux fracturés

- Implémentation d'un module hydromécanique couplé dans CESAR-LCPC

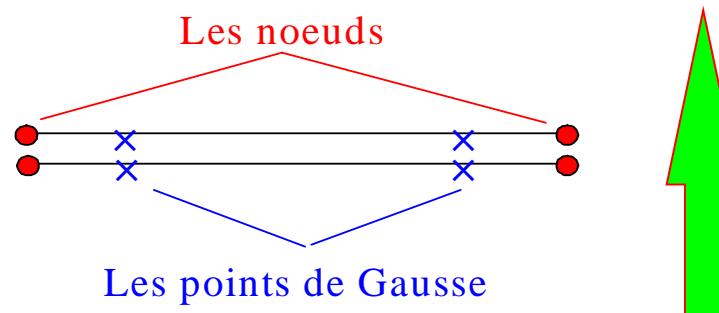


$$e_h = e_h^i + du_n \text{ avec } e_h \geq e_{hr}$$

$$\begin{bmatrix} \tau \\ \sigma'_n \end{bmatrix} = \begin{bmatrix} k_t & 0 \\ 0 & k_n \end{bmatrix} \begin{bmatrix} u_t \\ u_n \end{bmatrix} - \alpha \begin{bmatrix} 0 \\ p \end{bmatrix}$$

**Calhyd :**  $p_i(h_i)$

calcul des **pressions** dans les nœuds en fonction des condition hydrauliques aux limites et les ouvertures des joints ( $e_h$ )

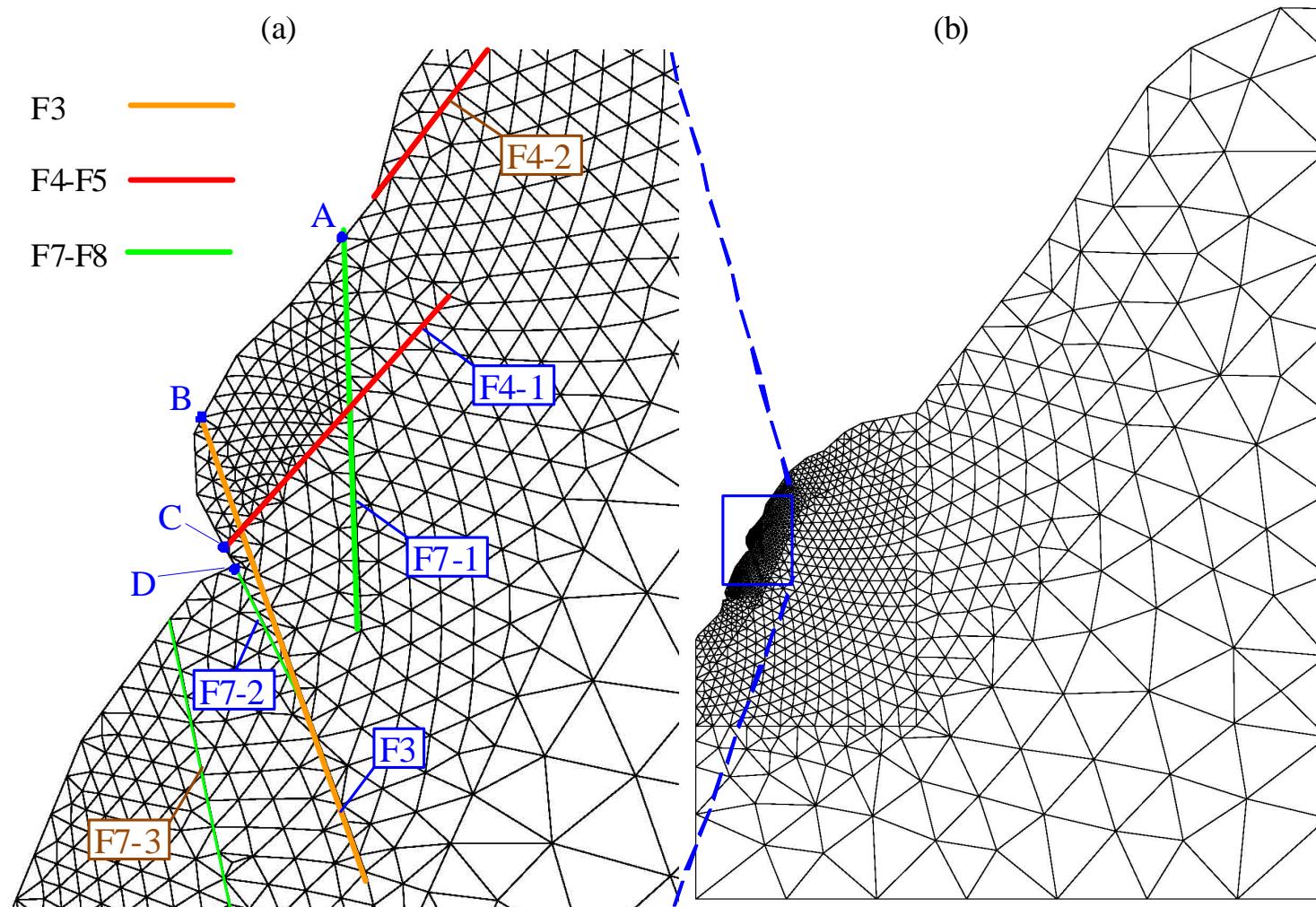


**CESAR**

calcul des **ouvertures** des joints en fonction des chargement mécaniques et les pressions dans les nœuds ( $p_i$ ) :  $e_m \rightarrow e_h$

## 5. Application à la modélisation de la stabilité des massifs rocheux

- Modélisation de la stabilité des Rochers de Valabres  
(Projet ANR STABROCK coordonné par l'INERIS)

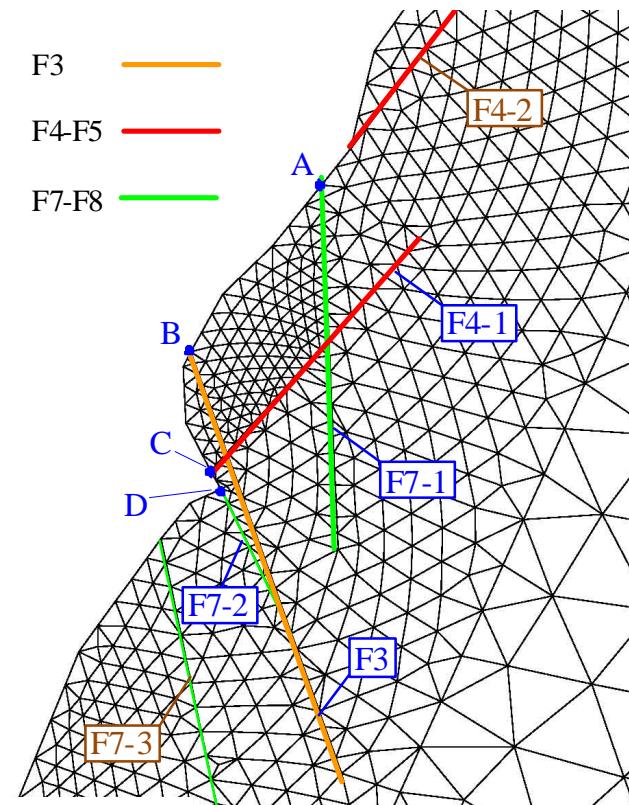


## 5. Application à la modélisation de la stabilité des massifs rocheux

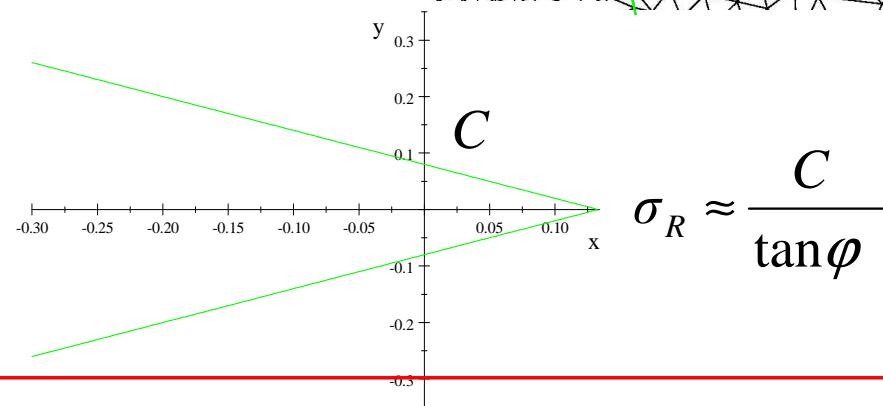
- Modélisation de la stabilité des Rochers de Valabres (STABROCK)

### Modèle Mohr-Coulomb :

Familles de discontinuités	Paramètre	Valeur
Discontinuités subverticales (familles F3 et F7-F8)	$k_n$ (MPa/mm)	1.0
	$k_t$ (MPa/mm)	0.1
	$C$ (MPa)	0.08
	$\phi_r$ ( $^{\circ}$ )	31
	$\psi_r$ ( $^{\circ}$ )	5
Discontinuités de pendage vers la vallée (familles F4-F5)	$k_n$ (MPa/mm)	0.8
	$k_t$ (MPa/mm)	.08
	$C$ (MPa)	0.04
	$\phi_r$ ( $^{\circ}$ )	26
	$\psi_r$ ( $^{\circ}$ )	5



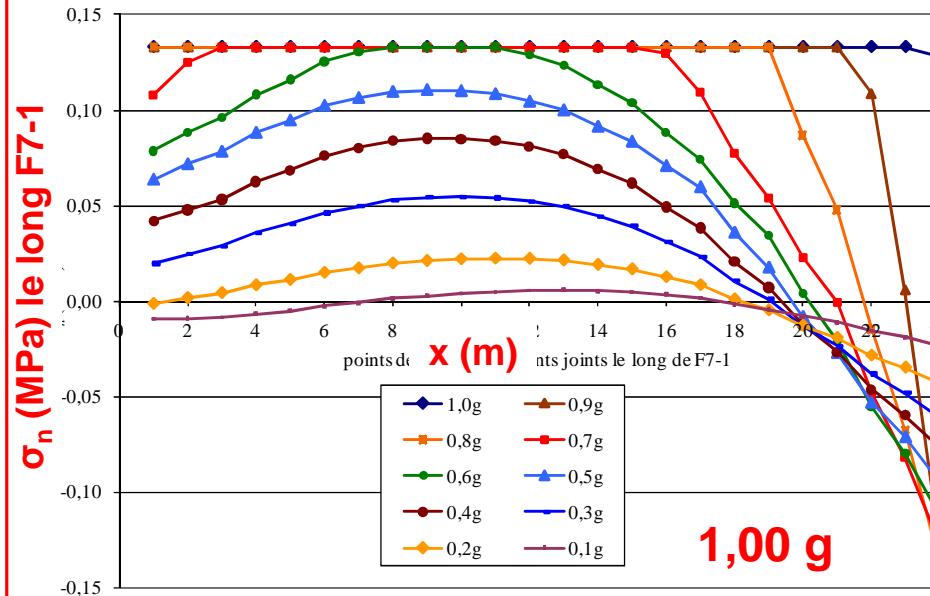
### Modèle endo-plastique :



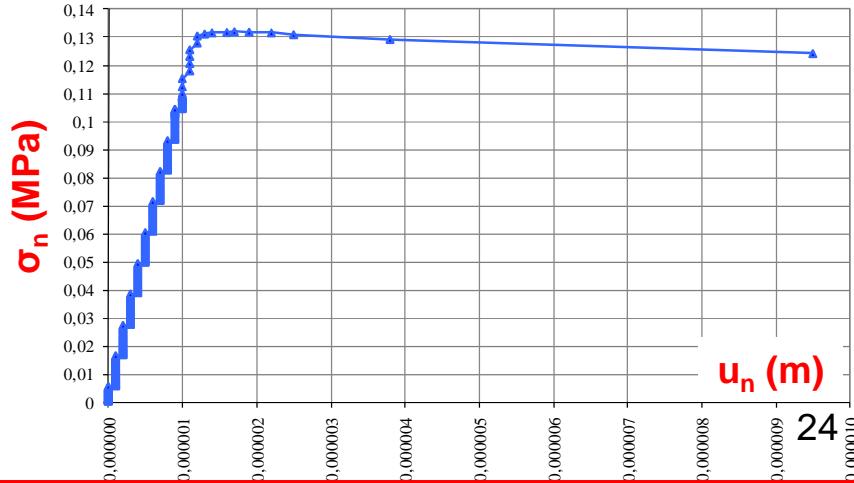
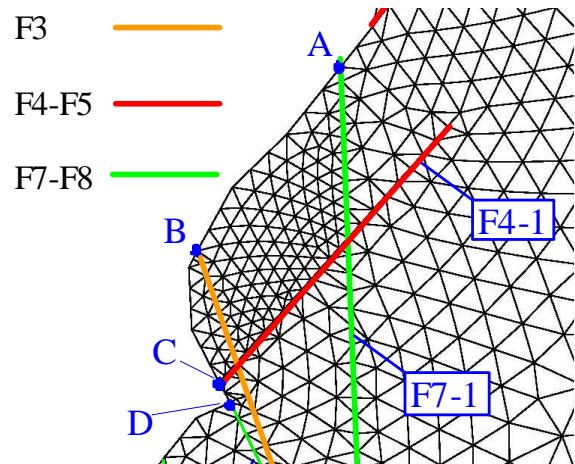
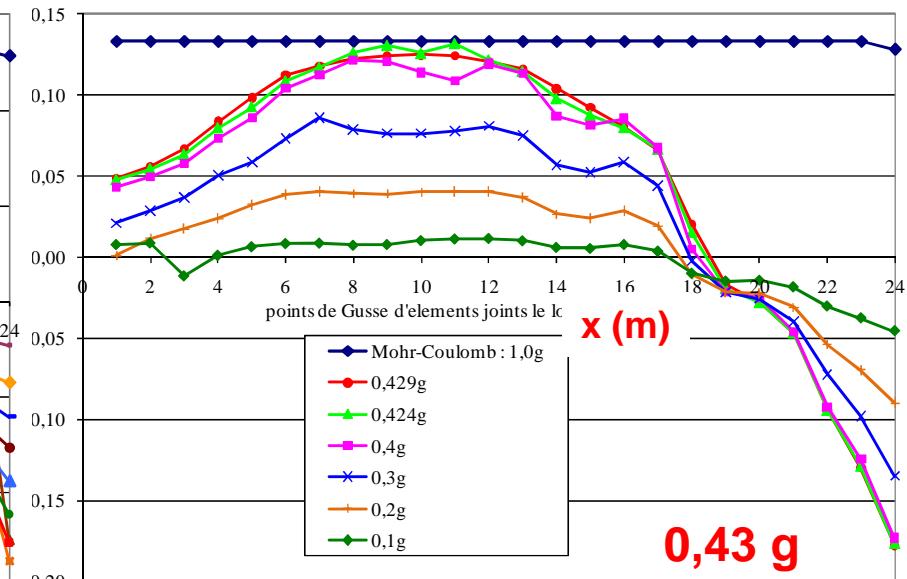
## 5. Application à la modélisation de la stabilité des massifs rocheux

- Modélisation de la stabilité des Rochers de Valabres (STABROCK)

Modèle Mohr-Coulomb :



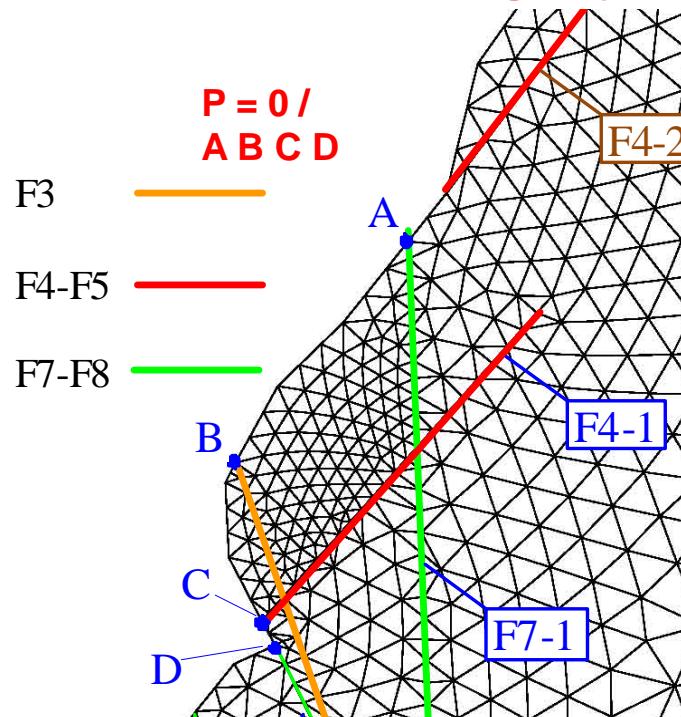
Modèle endo-plastique :



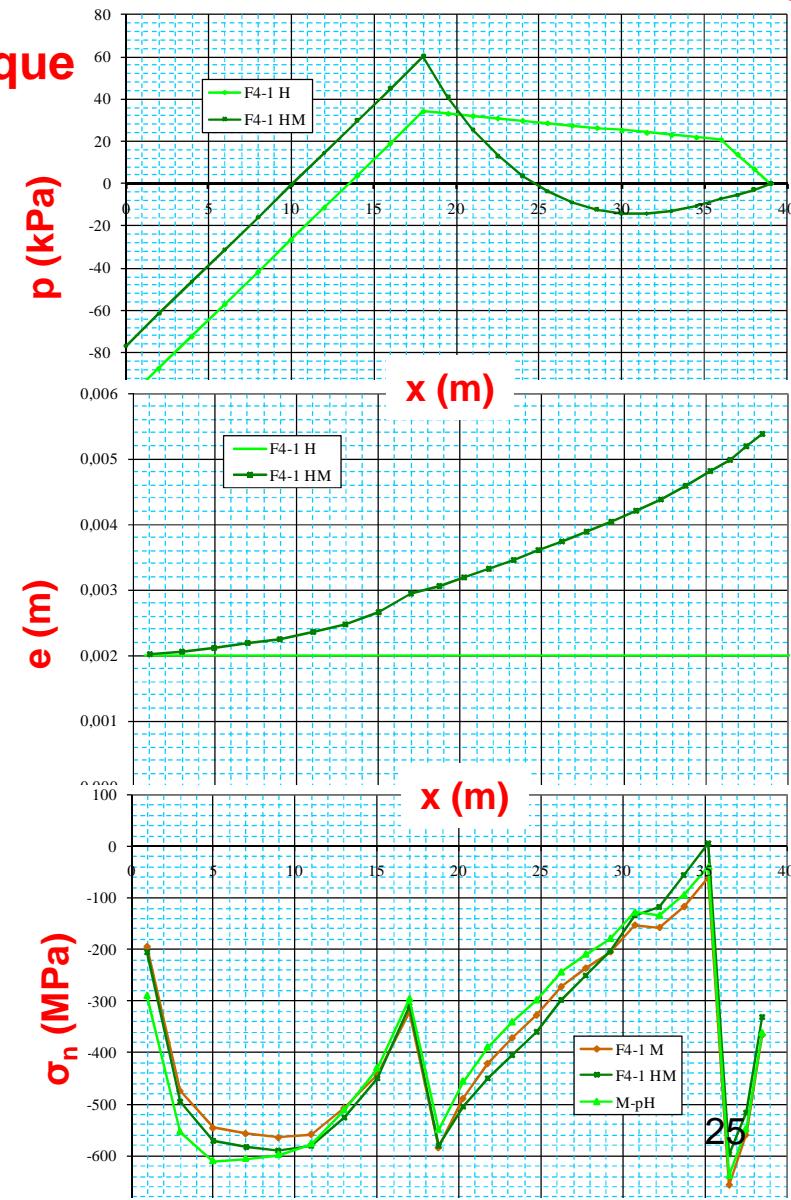
## 5. Application à la modélisation de la stabilité des massifs rocheux

- Modélisation de la stabilité des Rochers de Valabres – effet de l'eau

### Prise en compte de couplage hydromécanique



Paramètre	Valeur
$\rho$ (kg/m <sup>3</sup> )	1000
$g$ (m/s <sup>2</sup> )	10
$\mu$ (Pa.s)	1
$e_h^i$ (mm)	2
$e_{hr}$ (mm)	0,2



## 6. conclusions

1. Le modèle peut reproduire les aspects les plus importants du comportement des matériaux quasi-fragiles sous un chargement cyclique le long de la surface prédefinie de fissuration en Modes I et II:
  - dégradation de la résistance et la raideur,
  - déplacement plastique,
  - résistance résiduelle au cisaillement
  - comportement non-linéaire sous chargement normale,
2. Un module de calcul hydromécanique a été développé sur la base des éléments finis constituant un réseau hydraulique
3. Application à la modélisation de la stabilité des Rochers de Valabres ont montré l'importance de prise en compte de phénomène de l'endommagement ainsi que le couplage hydromécanique lors de présence de l'eau
4. Le modèle endo-plastique est applicable à la modélisation du comportement des discontinuités non persistantes, des failles à grande échelle, de la fracturation hydraulique, au couplage hydromécanique dans les projets géothermiques, à la fissuration du béton, au comportement des ouvrages en maçonnerie etc.